

## Indirect nitrous oxide emissions from streams within the US Corn Belt scale with stream order

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N<sub>2</sub>O is an important greenhouse gas and the primary stratospheric ozone depleting substance. Its deleterious effects on the environment have prompted appeals to regulate emissions from agriculture, which represents the primary anthropogenic source in the global N<sub>2</sub>O budget. Successful implementation of mitigation strategies requires robust bottom-up inventories that are based on emission factors (EFs), simulation models, or a combination of the two. Top-down emission estimates, based on tall-tower and aircraft observations, indicate that bottom-up inventories severely underestimate regional and continental scale N<sub>2</sub>O emissions, implying that EFs may be biased low. Here, we measured N<sub>2</sub>O emissions from streams within the US Corn Belt using a chamber-based approach and analyzed the data as a function of Strahler stream order (S). N<sub>2</sub>O fluxes from headwater streams often exceeded 29 nmol N<sub>2</sub>O-N m<sup>-2</sup>·s<sup>-1</sup> and decreased exponentially as a function of S. This relation was used to scale up riverine emissions and to assess the differences between bottom-up and top-down emission inventories at the local to regional scale. We found that the Intergovernmental Panel on Climate Change (IPCC) indirect EF for rivers (EF<sub>5r</sub>) is underestimated up to ninefold in southern Minnesota, which translates to a total tier 1 agricultural underestimation of N<sub>2</sub>O emissions by 40%. We show that accounting for zero-order streams as potential N<sub>2</sub>O hotspots can more than double the agricultural budget. Applying the same analysis to the US Corn Belt demonstrates that the IPCC EF<sub>5r</sub> underestimation explains the large differences observed between top-down and bottom-up emission estimates.

aquatic nitrous oxide fluxes | IPCC emission factors | river emission hotspots | regional emission upscaling

 $\mathbf{N}_2^{O}$  is projected to remain the dominant stratospheric ozonedepleting substance of the 21st century (1) and is a powerful greenhouse gas (GHG) that currently accounts for about 6% of the net radiative forcing associated with long-lived anthropogenic GHGs (2). The detrimental environmental impacts of N<sub>2</sub>O have stimulated appeals to regulate emissions from agricultural lands (1, 3), which account for nearly 80% of the global anthropogenic N<sub>2</sub>O budget (4, 5). The successful regulation and mitigation of N<sub>2</sub>O emissions requires a sound understanding of the direct and indirect emission processes and reduced uncertainty regarding the emission factors (EFs) (6).

The Intergovernmental Panel on Climate Change (IPCC) tier 1 approach uses EFs to provide first-order approximations of annual  $N_2O$  emissions based on mechanistic and empirical information that have been constrained by field studies. These EFs are widely used in bottom-up inventories such as the Emission Database for Global Atmospheric Research (EDGAR) (7) and the Global Emissions Initiative (GEIA) (8). These inventories are essential tools for tracking country specific emission trends, assessing thresholds for international treaties, and evaluating the impacts of mitigation policies. Recent independent top-down estimates note large discrepancies with these bottom-up inventories.

Tall-tower and aircraft-based top-down studies use atmospheric concentration data to estimate landscape  $N_2O$  fluxes. Several studies using these approaches demonstrate that bottom-up inventories underestimate  $N_2O$  emissions by up to ninefold in the Midwest US Corn Belt (9–12), implying that some EFs are too small. An important problem, therefore, is determining which EFs are biased low and how to reduce their uncertainty.

Bottom-up N<sub>2</sub>O emission inventories include direct and indirect emission pathways. Direct emissions describe the loss of N<sub>2</sub>O produced in soils by microbial processes (e.g., nitrification and denitrification). This source is arguably well constrained as a consequence of more than 1,000 chamber-based emission studies (6, 13). Plant N<sub>2</sub>O fluxes, although neglected in direct emissions inventories, appear to be negligible (10). The relatively low direct emission uncertainty range (0.4–3.8 Tg N·y<sup>-1</sup>) (14) suggests that this EF (0.003–0.03) (15) is well constrained.

Indirect emissions represent the aggregate of N<sub>2</sub>O production from leaching and runoff, human sewage, and atmospheric deposition of reactive nitrogen. Global indirect emission estimates range from 0.23 to 11.9 Tg N·y<sup>-1</sup> (16) and represent nearly two thirds of the uncertainty in the total global N<sub>2</sub>O budget (14). In fact, the EF for leaching and runoff (IPCC emission factor: EF<sub>5</sub>), which includes emissions from groundwater (EF<sub>5g</sub>), rivers (EF<sub>5r</sub>), and estuaries (EF<sub>5e</sub>), is the single largest source of uncertainty in the bottom-up inventory (14). In the 2006 IPCC Emission Guidelines report, the EF<sub>5</sub> value was reduced from 0.025 to 0.0075 by reducing both the EF<sub>5g</sub> and EF<sub>5r</sub> to 0.0025 in response to two studies from New Zealand and the United Kingdom (15). However, recent studies (17–20) suggesting riverine N<sub>2</sub>O loss is underestimated by up to threefold notably contradict the EF<sub>5r</sub> reduction.

## Significance

 $N_2O$  emissions from riverine systems are poorly constrained, giving rise to highly uncertain indirect emission factors that are used in bottom-up inventories. Using a non-steady-state flow-through chamber system,  $N_2O$  fluxes were measured across a stream order gradient within the US Corn Belt. The results show that  $N_2O$  emissions scale with the Strahler stream order. This information was used to estimate riverine emissions at the local and regional scales and demonstrates that previous bottom-up inventories based on the Intergovernmental Panel on Climate Change default values have significantly underestimated these indirect emissions.

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Uncertainty in the  $EF_{5r}$  can be attributed to a scarcity of studies (21, 22), poorly constrained water-air gaseous exchange relationships (23, 24), and high variability in river morphology (25, 26). Further, the  $EF_{5r}$  assumes a linear relation between nitrate in water and N<sub>2</sub>O emissions (14), the validity of which is the subject of considerable debate (27–30). Finally, N<sub>2</sub>O fluxes derived from simple gas exchange models have been shown to underestimate the flux if stream channel hydraulics (i.e., stream flow velocity) are ignored (31), highlighting that stream chemistry alone is not an accurate predictor of N<sub>2</sub>O fluxes.

We posit that the indirect  $N_2O$  fluxes in agricultural landscapes are highly dependent on stream hierarchy, which is semiquantitatively represented with the Strahler stream order (*S*), a numerical classification system. Here, we demonstrate that with detailed knowledge of *S*,  $N_2O$  fluxes can be scaled up to the region and help to resolve the discrepancy between top-down and bottom-up  $N_2O$  emission estimates in the US Corn Belt.

## **Results and Discussion**

N<sub>2</sub>O fluxes in southeastern Minnesota were measured in streams of varying *S* over a 2-y period. A total of 19 stream systems, representing nine stream orders, were sampled. An exponential function was used to describe the relationship between observed N<sub>2</sub>O fluxes (*F*, nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup>) and *S* 

$$F = b_0 \exp^{(-b_1 * S)},$$
 [1]

where  $b_0 = 34 \pm 10.2$  (95% CI) and  $b_1 = 0.73 \pm 0.2$ , with  $R^2 = 0.95$  and 0.58 for binned (n = 9) and raw data (n = 200), respectively (Fig. 1). Fluxes ranged from below the chamber system detection limit of 0.018 nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup> (i.e., for the Mississippi River; S = 9) to a maximum observed flux of 34.5 nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup> in a headwater stream (S = 1). A Kruskal–Wallis significance test revealed a significant mean rank difference (P < 0.05) in fluxes for headwater streams vs. all other stream orders. Further, there was a significant rank difference between fifth-and ninth-order streams, whereas there was no rank differences were greatest when testing nonsequential stream orders.

We hypothesize that the exponential decline in  $N_2O$  flux is the result of both weakened concentration gradient and lower piston

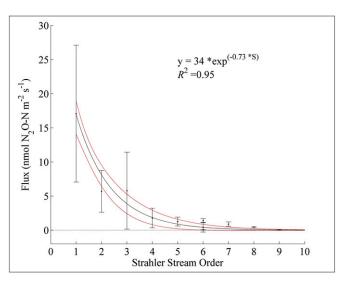


Fig. 1. The relation between  $N_2O$  flux and the Strahler stream order in southeastern Minnesota. The black line represents the best fit of an exponential function to the mean flux values measured at each stream order. Red lines represent the 95% CI of the model fit, and error bars indicate 1 SD from the mean.

velocities (k) in higher-order streams. Riverine N<sub>2</sub>O fluxes are a product of the concentration gradient between the surface water and the overlying atmosphere and a physical gas transfer coefficient (32). We propose two possible mechanisms underlying the emergent pattern shown in Fig. 1 including decreased in situ N<sub>2</sub>O production and loading and decreased gas exchange rates.

Mechanism 1. Headwater streams form from surface and subsurface runoff that, in regions with a high density of row crop agriculture, have high nitrate and ammonium loads, and as a consequence, ~45-50% of a watershed's inorganic nitrogen transport can occur in these systems (33, 34). Nitrogen is rapidly transformed via nitrification and removed through denitrification (35) in headwater streams (33), and these processes can quickly produce a surplus of N<sub>2</sub>O in the water column. However, the average first-order rate of nitrogen loss within stream channels declines by as much as 90% down the stream order continuum (36). Therefore, production potential declines as stream order increases. Accordingly, we observed a decline in surface water N2O concentrations from supersaturated (>1,000%) in second-order streams to near equilibrium with atmospheric N<sub>2</sub>O in fourth-order streams. Groundwater dissolved gas inputs are an additional N2O source and are most impactful in low flow headwater systems (37). However, groundwater loading has less of an effect on stream water chemistry with rising stream order (i.e., due to the water volume dilution effect) (37). Collectively, with increasing stream order, N<sub>2</sub>O production potential and loading progressively decline, which could account for the pattern shown in Fig. 1.

Mechanism 2. Exchange across the water-atmosphere interface of supersaturated gases is governed by k, which describes the turbulent nature of the stream. An inverse relationship between  $kCO_2$  and S has been observed (38–40), and as a consequence, the highest  $kCO_2$  values are frequently observed in headwater streams (38, 40-42). The same relationship after adjusting for the Schmidt number should be applicable to  $N_2O$ , implying that  $kN_2O$  increases with declining stream order. Localized areas with high k values (i.e., riffles) have been shown to be strong emission sources (31) if streams are supersaturated (e.g., mechanism 1). However, if the stream is not supersaturated, a high k value alone cannot generate a large flux. Further, a lower k implies a longer total residence time (age) and therefore a greater probability of  $N_2O$  reacting with nitrous oxide reductase (nos), the enzyme that catalyzes the final step in the denitrification reaction sequence: the reduction of  $N_2O$  to  $N_2$  (43). Although this has not been documented in river systems, this potential mechanism merits further research to determine its importance and its ability to weaken the water-atmosphere N<sub>2</sub>O concentration gradient. We posit that these two mechanisms, individually or combined, account for the relationship observed in Fig. 1 and require further study to elucidate their relative importance.

In our study, the variability of  $N_2O$  flux (i.e., the SD) scaled with stream order, leading to a tightly constrained relation for high order systems (Fig. 1). These observations imply a robust constraint on high-order (fifth-order and higher) emissions and that this pattern could be applied to similar systems (44). Conversely, much larger uncertainty in low-ordered systems (45, 46) exists, indicating that caution must be taken before generalizing our scaling function outside of the US Corn Belt. Headwater streams displayed the greatest uncertainty and their high variability has also been noted in  $CO_2$  evasion work (41). Low-order streams receive tile drainage outflow and groundwater from springs giving rise to localized "hotspots" of  $N_2O$  loss (45), similar to those seen in methane evasion work (41).

To test the appropriateness of the default IPCC  $EF_{5r}$  value, we used Eq. 1 to up-scale emissions within the observed concentration footprint (50-km radius) of our tall-tower N<sub>2</sub>O flux station (9). Land use in this study area consists of 70% crops and pasture, 14%

mixed vegetation, 11% forest, 3% developed, and 2% open water and is representative of the US Corn Belt. Streams in the area represent only a small fraction (0.16%) of the total surface area. Using default IPCC EFs for direct and indirect emissions, we provide a conservative estimate of the local agricultural N<sub>2</sub>O budget in addition to our up-scaled riverine emissions.

Whole-river emissions are the product of our scaling function and river area over a predicted ice-free period. We estimated the annual riverine N<sub>2</sub>O loss by coupling Eq. 1 with detailed geospatial datasets on stream length and width. Headwater streams (S = 1) were the strongest sources, emitting 60% of the riverine budget. The remaining streams in the study area (S = 2-5)contributed 14%, 8%, 10%, and 8% to the up-scaled riverine emission budget, respectively. This disproportionate flux distribution was a result of a threefold greater mean flux density from headwater streams (17.1 nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup>) than second-order streams (5.7 nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup>).

Using the IPCC tier 1 methodology (15), the total agricultural (direct + indirect) N<sub>2</sub>O emissions from the tall-tower footprint were 0.2 Gg N<sub>2</sub>O-N·y<sup>-1</sup>, which corresponds to a flux density of 0.25 (0.08–0.6) nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup>. Indirect and direct sources contributed 22% and 78%, respectively, to the tier 1 budget. Here, the default EF<sub>5r</sub> predicts that rivers emitted 0.01 Gg N<sub>2</sub>O-N·y<sup>-1</sup>, which represents just over 5% of the total N<sub>2</sub>O-N emissions. Our scaling method predicted a riverine source of 0.09 (0.04–0.18) Gg N<sub>2</sub>O-N·y<sup>-1</sup> (Fig. 2*A*), an estimate that is nine times greater than the source predicted by the default EF<sub>5r</sub>, signaling a significant bottom-up bias in the EF<sub>5r</sub>. Replacing the EF<sub>5r</sub> with our scaling function suggests that the total tier 1 bottom-up agricultural emissions have been underestimated by

40%. Accounting for this potential bias increases the predicted bottom-up flux density within the tall-tower source footprint to 0.36 nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup> (Fig. 2*C*). This estimate is in excellent agreement with the top-down tall-tower measured ensemble flux of 0.35 (0.3–0.4) nmol N<sub>2</sub>O-N·m<sup>-2</sup>·s<sup>-1</sup> (9), indicating that top-down and bottom-up budgets can be reconciled by applying our stream order scaling function. Our study suggests that an appropriate EF<sub>5r</sub> for the tall-tower source footprint should be closer to 2%, assuming the average application rate of nitrogen fertilizer (88.8 kg N·ha<sup>-1</sup>) (47), which is in agreement with a recent independent investigation (18).

Based on the tier 1 methodology, we estimated the agricultural N<sub>2</sub>O budget of the US Corn Belt at 58 (15–256) Gg N<sub>2</sub>O-N·y<sup>-1</sup>, of which 6% or 3.5 Gg N<sub>2</sub>O-N·y<sup>-1</sup> emanates from rivers (Fig. 2*B*). In this region, watersheds with high agricultural land use (>40%) occupy 93 million ha (58 million ha under active rowcrop cultivation). Applying Eq. 1 to these watersheds and using river area data, we obtained a riverine emission of 19.5 (9.3–41.2) Gg N<sub>2</sub>O-N·y<sup>-1</sup>. Our findings suggest that riverine N<sub>2</sub>O emissions are underestimated by at least 16 Gg N<sub>2</sub>O-N·y<sup>-1</sup> for the Corn Belt and on average by 29 kg N<sub>2</sub>O-N·km<sup>-2</sup>·y<sup>-1</sup> in watersheds whose cropland fractions are greater than 40% (Fig. 3). Including this source results in a 27% increase in the total tier 1 emission estimate from 58 to 75 Gg N<sub>2</sub>O-N·y<sup>-1</sup> (Fig. 2*B*). These findings indicate that a more appropriate regional EF<sub>5r</sub> is closer to 1.5% (0.7–3%) if the average nitrogen inputs, agricultural coverage (48), and runoff scaling factor (15) are used.

It is important to note that our revised  $EF_{5r}$  may not be applicable to areas that are nitrogen limited. Our conservative land use threshold of >40% cropland was chosen because the

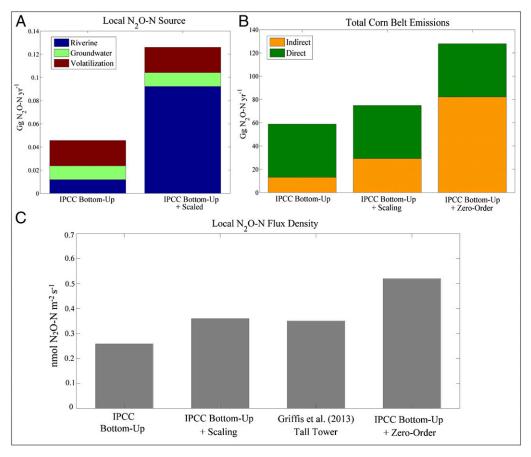
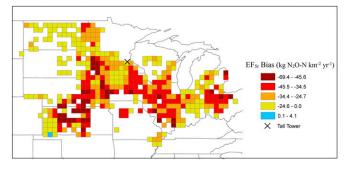


Fig. 2. Results from upscaling  $N_2O$  emissions. (A) A comparison of local indirect  $N_2O$ -N sources from default IPCC EFs and our scaling method. (B) Total US Corn Belt emissions from the three methods. (C) The flux densities from the tall-tower source footprint for each method.



**Fig. 3.** The first-order default  $EF_{5r}$  underestimation from the Corn Belt region. The bias is defined as the difference between IPCC  $EF_{5r}$  emissions and the results from stream order scaling.

corn and soybean systems prevalent in the US Corn Belt indirectly identify areas of high nitrogen loading. Although average nitrogen application rates vary, from 1994 to 2001, the average applied to all arable lands within the US Corn Belt was 48 kg N·ha<sup>-1</sup> (49). As such, we propose that agriculturally dominated watersheds receiving rates greater than 48 kg N·ha<sup>-1</sup> will be subject to similar indirect emissions and emission factors as observed in this study. We believe that the majority of the annual riverine N<sub>2</sub>O budget will originate from those watersheds and that their total area globally exceeds 235 million ha. Following the United States (93 million ha), the largest regions meeting these criteria are China (69 million ha), Europe (43 million ha), and India (30 million ha). The remaining watersheds are likely to be small N<sub>2</sub>O sources, and the default 2006 IPCC EF<sub>5r</sub> value should be appropriate for these cases (50).

The application of our methodology to the global scale is limited by two main factors. First, the scaling relation described in Fig. 1 is likely applicable to watersheds that are dominated by cropland systems with nitrogen inputs greater than 48 kg  $N \cdot ha^{-1}$ . Second, our stream order width was estimated using methodologies limited to the contiguous United States and Africa (51). At this time, we are unaware of an existing accurate global river width dataset, and this represents an important limitation for application of our method to other regions. We believe this is an important research need, especially in countries with significant agricultural production including corn (e.g., China, India, Brazil, and others).

The above analyses did not consider the role of fine-scale drainage features. Zero-order streams, or microflow stream channels, extend upland of headwater streams, are highly episodic, and are likely hot spots of nitrogen processing (52). Zeroorder stream systems form at the intersection of terrestrial and aquatic environments. However, because of their episodic nature and low spatial coverage,  $N_2O$  flux observations are severely lacking. Advancements in Light Detection and Ranging (LiDAR) remote sensing have made it possible to identify episodic microflow paths that may activate following snowmelt and precipitation events. The microflow paths are produced from high resolution (1 m) elevation maps that predict surface water movement. From these data, zero-order streams represent the most common stream order. We estimate that their length is 33 times that of first-order streams in the tall-tower footprint. By extrapolating Eq. 1 to include zeroorder streams, we suggest that they exert a significant influence on the N<sub>2</sub>O budget by increasing the local emissions to 0.41 Gg N<sub>2</sub>O- $N \cdot y^{-1}$  in the tall-tower footprint, or 101% greater than the default IPCC estimate. Scaling zero-order streams to the Corn Belt increases indirect emissions to 82 Gg  $N_2$ O-N·y<sup>-1</sup>, whereas the total emissions double to 129 Gg  $N_2$ O-N·y<sup>-1</sup>. However, the uncertainty in N2O emissions related to zero-order streams is large because we lack direct observational data, the reactivation timing is uncertain,

and the microscale watershed threshold necessary to form a zeroorder stream is highly variable. Regardless, there appears to be growing evidence of their importance in closing the gap between bottom-up and top-down emission estimates for the US Corn Belt.

## **Materials and Methods**

 $N_2O$  Flux Sampling.  $N_2O$  fluxes were measured in the field using a flow-through non-steady-state chamber system adapted for deployment in rivers. The floating chamber consisted of an aluminum lid with a pressure equilibration vent buoyed by foam insulation and covered with reflective material. The chamber enclosed a surface area of 0.145  $m^2$  with a headspace volume of  $\sim 0.0147~m^3.$ 

Headspace gas was pulled through a Teledyne gas filter correlation N<sub>2</sub>O analyzer (Model M320EU2; Teledyne Instruments), and the dry mole fraction was recorded at a sampling frequency of 1 Hz using a data-logger (model 23X; Campbell Scientific). The analyzer was powered in the field by deep cycle 12 V batteries wired in parallel to a DC-to-AC inverter. The chamber system has a minimum detectable flux of 0.028 nmol N<sub>2</sub>O·m<sup>-2</sup>·s<sup>-1</sup> (53). The analyzer was calibrated at the beginning of the season using an analytical grade standard and zeroed two times per month using N<sub>2</sub> gas. The concentration precision of the analyzer was 1.5 nmol·mol<sup>-1</sup>, and the flux measurement precision was 0.003 nmol·m<sup>-2</sup>·s<sup>-1</sup> (53).

The raw data were processed in Matlab (Matlab, Version R2012a; Mathworks). Fluxes were calculated according to

$$F = \frac{\rho V \Delta}{A},$$
 [2]

where  $\rho$  (mol·m<sup>-3</sup>) is the molar density of dry air, A (m<sup>2</sup>) is the surface area enclosed by the chamber, V (m<sup>3</sup>) is the chamber volume, and  $\Delta$  (nmol N<sub>2</sub>O·mol<sup>-1</sup>·s<sup>-1</sup>) is the rate of change of N<sub>2</sub>O concentration in the chamber headspace determined from linear regression (53). Before calculating the chamber N<sub>2</sub>O fluxes, a wavelet denoising technique was applied to the raw concentration data. This technique reduced the effect of instrument noise and improved the signal to noise ratio (53). We eliminated all chamber flux data when the linear regression  $R^2$  value was less than 0.9.

**Upscaling.** Nonlinear regression analysis was performed using the "fitnlm" function in Matlab. Local stream order data were downloaded from the Minnesota Department of Natural Resources. Mean North American stream order width (51) was used to generate stream area. Stream order areal estimates were applied to our nonlinear function to predict river emissions over a 214-d period (Day of Year 91–305).

The extent of the Corn Belt is subjective and lacks boundaries. Our definition was determined by selecting HUC12 subwatersheds with greater than 40% agriculture from 13 states in the Midwest (North Dakota, South Dakota, Nebraska, Kansas, Minnesota, Iowa, Missouri, Arkansas, Wisconsin, Illinois, Ohio, Indiana, and Michigan). Regional stream order data (NHDPlus, V.2; Horizon Systems Corp.) were used in our nonlinear model. The regional dataset is at a lower resolution than the local stream order and as a consequence underestimated stream length. A comparison of Minnesota streams determined a scaling factor (1.4) was appropriate to apply to the regional data to correct for this underestimation.

Default tier 1 IPCC methodologies were used to estimate  $N_2O$  emissions (15). This budget included direct emissions from soils from synthetic and organic nitrogen application, indirect emissions from the volatilization of synthetic and organic fertilizer, rivers, and groundwater. We did not account for emissions from natural systems, industry, grazing livestock, organic soils, crop residues, and legumes. Annual rates of synthetic and organic fertilizer application were used.

Zero-order streams were estimated from LiDAR data provided by the Minnesota Geospatial Information Office that was processed using ArcMap. A threshold of 250 m<sup>2</sup> was set before a stream would "activate." We assumed a period of 2 mo of active emissions that would include spring thaw and periodic precipitation events. Zero-order width was estimated using a regression equation from North American stream width data (51).

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