

# $\delta^{18}\text{O}$ of water vapour, evapotranspiration and the sites of leaf water evaporation in a soybean canopy

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## ABSTRACT

Stable isotopes in water have the potential to diagnose changes in the earth's hydrological budget in response to climate change and land use change. However, there have been few measurements in the vapour phase. Here, we present high-frequency measurements of oxygen isotopic compositions of water vapour ( $\delta_v$ ) and evapotranspiration ( $\delta_{ET}$ ) above a soybean canopy using the tunable diode laser (TDL) technique for the entire 2006 growing season in Minnesota, USA. We observed a large variability in surface  $\delta$  from the daily to the seasonal timescales, largely explained by Rayleigh processes, but also influenced by vertical atmospheric mixing, local evapotranspiration (ET) and dew formation. We used  $\delta_{ET}$  measurements to calculate the isotopic composition at the sites of evaporative enrichment in leaves ( $\delta_{L,e}$ ) and compared that with the commonly used steady-state prediction ( $\delta_{L,s}$ ). There was generally a good agreement averaged over the season, but larger differences on individual days. We also found that vertical variability in relative humidity and temperature associated with canopy structure must be addressed in canopy-scale leaf water models. Finally, we explored this data set for direct evidence of the Péclet effect.

**Key-words:** canopy scale; dew; evaporative site; non-steady state; oxygen isotopes and tunable diode laser; Péclet effect.

## INTRODUCTION

Leaf transpiration transfers a significant portion of water from the soil to the atmosphere with consequences for regional humidity and precipitation patterns. In the central USA, the relative humidity has increased by 0.5–2.0% decade<sup>-1</sup> from 1976 to 2004 (Dai 2006), and this may be partially attributable to increased agricultural productivity and crop density delivering more water to the atmosphere (Changnon, Sandstrom & Schaffer 2003). Transpiration is regulated by complicated interactions of plant physiology and environmental conditions; therefore, it is important to

understand what controls leaf transpiration in the present climate, and also how these environmental factors and feedbacks may change in the future.

The heavy isotope of oxygen,  $^{18}\text{O}$ , is a valuable tracer of plant–atmosphere water interactions (e.g. Yakir & Sternberg 2000). During evaporation from leaves and the soil, the lighter  $\text{H}_2^{16}\text{O}$  molecules evaporate more readily, leaving surface water pools more enriched in the heavy isotopologues ( $\text{H}_2^{18}\text{O}$ ). The enrichment is linked in a predictable way to environmental conditions. Isotopic mass balance dictates that at the time scale of days to weeks, the isotopic composition of water transpired by leaves must be the same as water entering the plant from the soil at the rooting depth. This is referred to as the ‘steady state’. At subdaily time scales, it is the difference between leaf water isotopic enrichment and  $\delta_v$  that determines the diurnal change in the isotopic signature of transpiration ( $\delta_T$ ), reflecting short-term non-steady state (Dongmann *et al.* 1974; Harwood *et al.* 1998; Lee, Kim & Smith 2007).

The isotopic composition of precipitation has been studied globally for many years (IAEA/WMO 2004). In comparison, ecosystem water pools, such as soil, plant xylem and leaf water, are much more spatially variable and difficult to measure because traditional methods require separation of the water from the soil or organic matter (Ehleringer, Roden & Dawson 2000). For example, observations of bulk leaf water variability across seasons or among seasons are rare (Ometto *et al.* 2005; Pendall, Williams & Leavitt 2005). Leaf water enrichment is highly variable and may be estimated from measurements of environmental conditions using the Craig–Gordon model, assuming steady state where the isotopic composition of transpiration equals that of xylem water (Craig & Gordon 1965; Yakir & Sternberg 2000). However, a considerable number of investigators have found that the Craig–Gordon steady-state equilibrium prediction is approached only during midday in field conditions (e.g. Dongmann *et al.* 1974; Harwood *et al.* 1998; Cernusak, Pate & Farquhar 2002).

An increasing body of literature shows that in field conditions, the Craig–Gordon model predicts  $\delta_{L,s}$  greater than  $\delta_{L,e}$  (Dongmann *et al.* 1974; Bariac *et al.* 1989; Walker *et al.* 1989; Flanagan & Ehleringer 1991; Flanagan, Comstock &

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Ehleringer 1991). There are two confounding issues at play here. Firstly, there is evidence that either discrete leaf water compartments containing unenriched source water or an isotopic gradient within the leaf caused by convection of source water by transpiration opposing the back-diffusion of water enriched in  $\text{H}_2^{18}\text{O}$  from the site of evaporative enrichment leads to average leaf water more negative than  $\delta_{\text{L},\text{s}}$ . The later process, known as the Péclet effect, is most important during midday when transpiration rates are large (Farquhar & Lloyd 1993). Secondly,  $\delta_{\text{T}}$  may not equal  $\delta_{\text{x}}$  especially during the transitional periods of the day as environmental conditions are changing rapidly (around sunrise and sunset). Lee *et al.* (2007) have shown that 24 h is too short to assume isotopic steady-state transpiration. Both the Péclet effect and the  $\delta_{\text{T}} = \delta_{\text{x}}$  steady-state assumption will be explored in this paper.

Leaf water isotopic composition at the site of enrichment ( $\delta_{\text{L},\text{e}}$ ) not only controls  $\delta_{\text{T}}$ , but also has consequences for other isotope tracers. For example, it plays a critical role in determining the oxygen isotopic composition of atmospheric  $\text{CO}_2$  (Farquhar *et al.* 1993), and using this to partition net  $\text{CO}_2$  fluxes into gross photosynthesis and respiration (Yakir & Wang 1996; Ogée *et al.* 2004), reconstructing past climate conditions from cellulose records, through  $\delta_{\text{L},\text{e}}$ 's effect on  $\delta_{\text{L},\text{b}}$ , (Epstein & Yapp 1977; Roden, Lin & Ehleringer 2000), and in paleostudies to estimate the ratio of marine to terrestrial biospheric productivity calculated from the Dole effect (Bender, Sowers & Labeyrie 1994; Hoffmann *et al.* 2004). Unfortunately,  $\delta_{\text{L},\text{e}}$  is not a directly measurable quantity. Measurements of  $\delta_{\text{T}}$ ,  $\delta_{\text{x}}$ , accurate relative humidity with respect to the leaf temperature, and estimates of kinetic isotope fractionation allow us to infer the values of  $\delta_{\text{L},\text{e}}$  without invoking the steady-state assumption (Craig & Gordon 1965; Harwood *et al.* 1998).

The vapour phase  $\delta_{\text{v}}$  and  $\delta_{\text{T}}$  vary on even shorter time scales than bulk water pools. Traditional measurements used to resolve such variability are time-consuming because of the need to first condense the vapour to the liquid phase and then to perform mass spectrometer analysis (Helliiker *et al.* 2002). Recent advances in mid-infrared spectroscopy have now made it possible to make high temporal resolution measurements of  $\delta_{\text{v}}$  and transpiration  $\delta_{\text{T}}$  in the surface layer (Lee *et al.* 2005), and also lower resolution measurements of  $\delta_{\text{v}}$  throughout the upper troposphere and stratosphere on a global scale from satellites (Worden *et al.* 2006; Herbin *et al.* 2007).

In this study, we made near-continuous ecosystem-scale measurements of  $\delta_{\text{v}}$  and  $\delta_{\text{ET}}$  above a soybean canopy in Minnesota using tunable diode laser (TDL) technology. These observations also included periods of high humidity that allowed us to examine theoretical predictions in extreme environmental conditions. Our objectives were to: (1) quantify the temporal dynamics of the  $\delta^{18}\text{O}$  composition of atmospheric water vapour and ecosystem water pools and the exchange fluxes over an entire season; (2) test the fundamental assumption that leaf water enrichment at the site of evaporation can be predicted using the Craig–Gordon

steady-state model; and (3) test the Péclet effect at the canopy scale and under non-steady-state field conditions.

## LIST OF VARIABLES

Variable	Description
$C$	molar density of water ( $\text{mol m}^{-3}$ )
$D$	diffusivity of $\text{H}_2^{18}\text{O}$ in water ( $\text{m}^2 \text{s}^{-1}$ )
$E_{\text{S}}$	soil evaporation ( $\text{mol m}^{-2} \text{s}^{-1}$ )
$E_{\text{T}}$	transpiration ( $\text{mol m}^{-2} \text{s}^{-1}$ ), assumed equal to ET
ET	evapotranspiration ( $\text{mol m}^{-2} \text{s}^{-1}$ )
$f$	fractional enrichment of leaf water
$h$	humidity relative to the saturation vapour pressure at leaf temperature
$I_{\text{ET}}$	ET isoforcing ( $\text{mmol m}^{-2} \text{s}^{-1} \text{‰}$ )
$L_{\text{eff}}$	effective diffusion length (m)
$R_{\text{d}}$	$^{18}\text{O}/^{16}\text{O}$ ratio of dew
$R_{\text{ET}}$	$^{18}\text{O}/^{16}\text{O}$ ratio of ET
$T$	temperature ( $^{\circ}\text{C}$ or $\text{K}$ )
$v$	water mixing ratio in the atmosphere [ $\text{mmol} (\text{mol dry air})^{-1}$ ]
$x$	molar mixing ratio of water isotopologue [e.g. $\text{mol H}_2^{18}\text{O} (\text{mol dry air})^{-1}$ ]
$\delta_{\text{d}}$	$\delta^{18}\text{O}$ of dew (‰)
$\delta_{\text{ET}}$	$\delta^{18}\text{O}$ of evapotranspiration (‰)
$\delta_{\text{g}}$	$\delta^{18}\text{O}$ of ground water (‰)
$\delta_{\text{L},\text{b}}$	$\delta^{18}\text{O}$ of bulk leaf water (‰)
$\delta_{\text{L},\text{e}}$	$\delta^{18}\text{O}$ of leaf water at the site of evaporative enrichment calculated using $\delta_{\text{T}}$ measurements (‰)
$\delta_{\text{L},\text{s}}$	$\delta^{18}\text{O}$ of leaf water at the site of evaporation calculated assuming steady-state conditions, $\delta_{\text{T}} = \delta_{\text{x}}$ (‰)
$\delta_{\text{p}}$	$\delta^{18}\text{O}$ of precipitation (‰)
$\delta_{\text{s}}$	$\delta^{18}\text{O}$ of soil water (‰)
$\delta_{\text{storage}}$	$\delta^{18}\text{O}$ of canopy storage of water vapour (‰)
$\delta_{\text{T}}$	$\delta^{18}\text{O}$ of transpiration, assumed equal to $\delta_{\text{ET}}$ (‰)
$\delta_{\text{v}}$	$\delta^{18}\text{O}$ of water vapour (‰)
$\delta_{\text{v},\text{e}}$	$\delta^{18}\text{O}$ of liquid water in equilibrium with $\delta_{\text{v}}$ (‰)
$\delta_{\text{x}}$	$\delta^{18}\text{O}$ of xylem water (‰)
$\varepsilon_{\text{eq}}$	equilibrium fractionation (‰)
$\varepsilon_{\text{k}}$	kinetic fractionation (‰)
$\rho$	Péclet number

## THEORY

### Departure from steady state

The isotopic composition of transpiration ( $\delta_{\text{T}}$ ) can be understood using theory developed for evaporating pools of water by Craig & Gordon (1965) (herein C&G), which describes the  $\delta^{18}\text{O}$  of evaporation as a function of humidity, kinetic and equilibrium isotope fractionation, the isotopic composition of water of the evaporating surface, and atmospheric vapour. This relationship has been rearranged to solve for the isotopic composition of leaf water at the evaporating site ( $\delta_{\text{L},\text{e}}$ ). Here, we consider an approximation given by Farquhar & Lloyd (1993),

$$\delta_{\text{L,e}} = \delta_{\text{T}} + \varepsilon_{\text{eq}} + \varepsilon_{\text{k}} + h(\delta_{\text{v}} - \varepsilon_{\text{k}} - \delta_{\text{T}}), \quad (1)$$

where  $h$  is relative humidity referenced to leaf temperature,  $\varepsilon_{\text{eq}}$  is the temperature-dependent equilibrium fractionation factor between liquid and vapour (positive and in ‰) (Majoube 1971), and  $\varepsilon_{\text{k}}$  is a kinetic fractionation factor (positive and in ‰) following Farquhar, Ehleringer & Hubick (1989) and recently updated by Cappa *et al.* (2003),

$$\varepsilon_{\text{k}} = \frac{32r_{\text{s}} + 21r_{\text{b}}}{r_{\text{s}} + r_{\text{b}}} \quad (\text{‰}), \quad (2)$$

where  $r_{\text{s}}$  is stomatal resistance, and  $r_{\text{b}}$  is the leaf boundary layer resistance.

In this study, we used hourly measurements of  $\delta_{\text{ET}}$  at the canopy scale to approximate  $\delta_{\text{T}}$ , and then used Eqn 1 to calculate the isotopic composition of leaf water at the evaporating site ( $\delta_{\text{L,e}}$ ). In agricultural ecosystems with high leaf area index (LAI), transpiration dominates ET. Therefore,  $\delta_{\text{T}}$  equals  $\delta_{\text{ET}}$  to a very close approximation when LAI is high (Shuttleworth & Wallace 1985). Stomatal resistance ( $r_{\text{s}}$ ) was estimated from the Penman–Monteith equation for canopy resistance using eddy covariance and micrometeorologic measurements (Monteith 1965), and was converted from canopy scale to leaf scale by multiplying by half of the LAI. This method has the effect of weighting the canopy by sunlight penetration depth and compared well with LI-6400 leaf-level measurements from the upper and middle canopy. Boundary layer resistance ( $r_{\text{b}}$ ) was estimated from canopy wind speed and leaf width (Gates 1980; Bonan 2002).

Equation 1 is commonly used to predict  $\delta_{\text{L,e}}$  without directly measuring  $\delta_{\text{T}}$ , but rather assuming steady-state evaporating conditions. At steady state, the water leaving the leaf has the same isotopic composition as xylem water ( $\delta_{\text{x}}$ ) entering the leaf (i.e.  $\delta_{\text{T}} = \delta_{\text{x}}$ ). Substituting  $\delta_{\text{x}}$  for  $\delta_{\text{T}}$  in Eqn 1, we obtain a prediction of  $\delta_{\text{L,e}}$  at the steady state ( $\delta_{\text{L,s}}$ ),

$$\delta_{\text{L,s}} = \delta_{\text{x}} + \varepsilon_{\text{eq}} + \varepsilon_{\text{k}} + h(\delta_{\text{v}} - \varepsilon_{\text{k}} - \delta_{\text{x}}). \quad (3)$$

This approximation assumes that leaf water at the evaporating site instantaneously adjusts to changing environmental conditions such that outgoing  $\delta_{\text{T}}$  equals incoming  $\delta_{\text{x}}$ . However, the well-known diurnal cycle in  $\delta_{\text{L,b}}$  requires that  $\delta_{\text{T}}$  be less than  $\delta_{\text{x}}$  in the morning as  $\delta_{\text{L,b}}$  increases, and that  $\delta_{\text{T}}$  be greater than  $\delta_{\text{x}}$  in the evening as  $\delta_{\text{L,b}}$  decreases, according to the isotopic mass balance inside the leaf. The steady state is reached during midday in the natural setting if the one-way water fluxes in and out of the leaf are high enough to keep up with rapidly changing environmental conditions. There is evidence that the steady state certainly does not apply at night when the one-way water fluxes are small (e.g. Cernusak *et al.* 2002; Farquhar & Cernusak 2005).

The relationship between  $\delta_{\text{L,e}}$  and  $\delta_{\text{L,s}}$  has been examined in relatively few experimental studies involving leaf chambers (Yakir *et al.* 1994; Harwood *et al.* 1998; Seibt *et al.* 2006). Here, we consider the difference  $\delta_{\text{L,e}} - \delta_{\text{L,s}}$  as a measure of the departure from steady state. Similarly, the

difference  $\delta_{\text{T}} - \delta_{\text{x}}$ , examined in detail by Lee *et al.* (2007) and Yakir *et al.* (1994), defines the non-steady-state behaviour. We can relate these two by taking the difference between Eqns 1 and 3,

$$\delta_{\text{L,e}} - \delta_{\text{L,s}} = (\delta_{\text{T}} - \delta_{\text{x}})(1 - h). \quad (4)$$

Thus, observable differences between  $\delta_{\text{L,e}}$  and  $\delta_{\text{L,s}}$  occur only if  $\delta_{\text{T}}$  deviates from  $\delta_{\text{x}}$  and air is not saturated. In the special case when air approaches saturation ( $h \rightarrow 1$ ), the difference between  $\delta_{\text{L,e}}$  and  $\delta_{\text{L,s}}$  vanishes as both values approach the isotopic composition of liquid in equilibrium with vapour ( $\delta_{\text{v}} + \varepsilon_{\text{eq}}$ ). Because  $\delta_{\text{L,e}}$  is a parameter of great interest, we will focus on the deviation of  $\delta_{\text{L,e}}$  from steady state instead of  $\delta_{\text{T}}$ .

### The Péclet effect

Two issues confound the interpretation of the leaf water  $\delta^{18}\text{O}$  measurements. Firstly, the commonly measured leaf water  $\delta$  is for the bulk leaf water ( $\delta_{\text{L,b}}$ ), but it is  $\delta_{\text{L,e}}$  that exerts a direct influence on the isotope exchange of  $\text{H}_2\text{O}$ ,  $\text{CO}_2$  and  $\text{O}_2$ . The Péclet model captures the ratio of transpiration advection of unenriched xylem water to the diffusion of  $\text{H}_2^{18}\text{O}$ -enriched water from the evaporating sites within the bulk leaf lamina water. Proposed by Farquhar & Lloyd (1993), it allows us to relate  $\delta_{\text{L,e}}$  to  $\delta_{\text{L,b}}$  as

$$\delta_{\text{L,b}} - \delta_{\text{x}} = \frac{(\delta_{\text{L,e}} - \delta_{\text{x}})(1 - e^{-\varphi})}{\varphi} \quad (5)$$

$$\varphi = \frac{E_{\text{T}}L_{\text{eff}}}{CD},$$

where  $\varphi$  is the non-dimensional Péclet number,  $E_{\text{T}}$  is the transpiration rate of the leaf ( $\text{mol m}^{-2} \text{s}^{-1}$ ),  $L_{\text{eff}}$  is an effective diffusion length (m),  $C$  is the molar density of water ( $55.6 \times 10^3 \text{ mol m}^{-3}$ ), and  $D$  is the temperature-dependent diffusivity of the  $\text{H}_2^{18}\text{O}$  in water (Cuntz *et al.* 2007). This model is the simplified longitudinal average of the more detailed Farquhar & Gan (2003) model and does not consider the enrichment of xylem water along the leaf.

Evidence supporting the Péclet effect has been indirect to date (Farquhar, Cernusak & Barnes 2007). In several cases, the agreement of modelled and measured  $\delta_{\text{L,b}}$  was improved by including the Péclet effect in the leaf lamina and in some cases the xylem water in the leaf also (Cernusak *et al.* 2002; Farquhar & Gan 2003; Barbour *et al.* 2004; Cernusak, Farquhar & Pate 2005; Ripullone *et al.* 2008). According to Farquhar & Lloyd (1993), a positive correlation should exist between  $E_{\text{T}}$  and the fractional enrichment of leaf water ( $f$ ),

$$f = (\delta_{\text{L,b}} - \delta_{\text{x}})/(\delta_{\text{L,e}} - \delta_{\text{x}}). \quad (6)$$

As  $E_{\text{T}}$  increases,  $1-f$  should increase in a curvilinear fashion (e.g. Fig. 4 of Barbour *et al.* 2004).

The second issue complicating the interpretation of the leaf water  $\delta^{18}\text{O}$  is that in field conditions, the steady-state

assumption may be violated (Wang & Yakir 1995; Cernusak *et al.* 2002), and  $\delta_{L,e}$  may not equal  $\delta_{L,s}$ . The Péclet effect can be quantified rigorously in the laboratory where steady state is achieved by holding environmental conditions constant, allowing  $\delta_{L,e}$  to be identical to  $\delta_{L,s}$  (Eqn 4). Cuntz *et al.* (2007) recently showed that Eqn 5 does not hold in the non-steady state, but still remains a reasonable approximation when  $\delta_{L,b}$  is flux weighted for certain applications (also see Ogée *et al.* 2007).

### Non-steady-state model of leaf water enrichment

Farquhar & Cernusak (2005) (herein F&C) included both of the previously discussed effects into a model of bulk mesophyll leaf water enrichment. They do not assume steady state, and treat  $\delta_{L,b}$  and  $\delta_{L,e}$  separately. We will test a few predictions of this model, including the backward calculation of  $\delta_{L,e}$ , using isotopic mass balance and the change in the measured  $\delta_{L,b}$  (F&C Eqn 16) and the forward predictions of  $\delta_{L,e}$  and  $\delta_{L,b}$  (F&C Eqns 22 and 21, respectively).

## MATERIALS AND METHODS

### Site description

We measured  $\delta_{ET}$  above a *Glycine max* (soybean) canopy from 30 May to 27 September 2006. The field, located at the University of Minnesota Rosemount Research and Outreach Center (RROC/UMORE PARK) just outside of Minneapolis-St Paul, MN, is currently managed as a conventional corn-soybean rotation. Many ongoing research activities aimed at quantifying carbon, water and energy fluxes at this site using eddy covariance and isotopic techniques have been documented elsewhere (Griffis *et al.* 2004, 2005b; Baker & Griffis 2005).

Climate conditions during the 2006 growing season are summarized in Table 1. The field was planted on 25 May and harvested on 10 October. The maximum LAI reached 8.2 at a full canopy height of about 1 m on 3 August. The field was not irrigated. Our TDL was installed on 1 June at the north

edge of the field, with a fetch of 200–500 m in the 90–270 degree wind sector. The seasonal mean leaf water content was 110 g m<sup>-2</sup> (mass of water per unit leaf area) based on weekly sampling.

### Isotope measurements

Atmospheric  $\delta_v$  was measured at two heights above the canopy by a TDL (TGA100, Campbell Scientific, Inc., Logan, UT, USA) from the absorbance spectra of H<sub>2</sub><sup>16</sup>O and H<sub>2</sub><sup>18</sup>O. A dynamic calibration system, referred to as the 'dripper' described in Lee *et al.* 2005; Lee, Smith & Williams 2006, allowed us to correct for the non-linearity of the TDL measurement with respect to absolute water content by adjusting the mixing ratio of water in our calibration gases. The entire calibration procedure, including zero offset and gain corrections, and the approach used to minimize the non-linearity of the  $\delta_v$  measurement is discussed in detail in Wen *et al.* (2008).

Canopy  $\delta_{ET}$  was determined by the flux-gradient approach (Brunel *et al.* 1992; Yakir & Wang 1996; Wang & Yakir 2000), measuring the vertical gradients of water isotopologues at the two sampling heights as described and tested in Griffis *et al.* (2005b) and Lee *et al.* (2007). The height of the intakes increased over the season from 75 and 185 cm at the beginning to 108 and 198 cm by the end of the season to adjust for canopy growth. The molar <sup>18</sup>O/<sup>16</sup>O isotope ratio of ET ( $R_{ET}$ ) was calculated hourly as

$$R_{ET} = R_d \frac{x_{s,2}^{16} - x_{s,1}^{16} x_{a,2}^{18} - x_{a,1}^{18}}{x_{s,2}^{18} - x_{s,1}^{18} x_{a,2}^{16} - x_{a,1}^{16}}, \quad (7)$$

where  $R_d$  is the molar ratio of the dripper calibration water,  $x$  is the mixing ratio of water isotopologues where the superscripts 16 and 18 denote the <sup>16</sup>O and <sup>18</sup>O molecules in water; s,1 and s,2 indicate span calibration vapour streams; and a,1 and a,2 represent ambient air sampled at heights 1 (upper) and 2 (lower). Note that when the vertical gradient in water vapour mixing ratio goes to zero,  $R_{ET}$  is undefined. The leading term,  $R_d(x_{s,2}^{16} - x_{s,1}^{16})/(x_{s,2}^{18} - x_{s,1}^{18})$ , is essentially a

**Table 1.** Monthly mean climate

Month	T (°C)	Rain (mm)	H <sub>2</sub> O mixing ratio (mmol mol <sup>-1</sup> )	RH <sup>a</sup> (%)	Soil moisture (VWC <sup>b</sup> )			Dew events <sup>c</sup> (% of nights)
					5 cm	10 cm	25 cm	
May	15.7	47.2		(58)	0.25	0.32	0.38	(84)
June	20.6	48.6	15.5	64	0.21	0.27	0.35	60 (80)
July	24.3	64.7	21.0	67	0.21	0.26	0.32	81 (77)
August	20.7	145.6	19.7	80	0.23	0.28	0.35	84 (81)
September	14.4	73.3	13.7	78	0.26	0.31	0.35	54 (65)

<sup>a</sup>Relative humidity calculated from TDL-measured H<sub>2</sub>O mixing ratio referenced to 3 m air temperature, mean of all data (day and night). Values in parentheses are from 3 m Viasala humidity sensor. Note that the TDL installation occurred at the beginning of June.

<sup>b</sup>Volumetric water content in units of volume of H<sub>2</sub>O per volume of soil.

<sup>c</sup>Determined from the polarity of TDL H<sub>2</sub>O vertical gradients: a higher mixing ratio at the upper level compared with the lower level indicates net downward water vapour flux or dew formation. Values in parentheses are from the sign of latent heat flux measurements.

TDL, tunable diode laser.



calibration factor that ranged from 1.10 to 1.35 over the season. The isotope ratios are reported using  $\delta$  notation relative to the Vienna Standard Mean Ocean Water (V-SMOW) scale,  $\delta = (R/R_{\text{V-SMOW}} - 1)$ , in parts per thousand (‰) or per mil. The measured  $\delta_v$  precision was approximately 0.2‰, and the  $\delta_{\text{ET}}$  measurements achieved a precision of approximately 1.4‰ (Lee *et al.* 2007).

We were unable to directly measure and correct for the storage term in the flux isotope ratio calculation (Griffis *et al.* 2004) because there were no measurements of  $\delta_v$  inside the canopy. An analysis of the rate of change of the ratio of the isotopologue fluxes of the lower intake was used as a surrogate for the canopy storage term ( $\delta_{\text{storage}}$ ). There was no indication of a bias between  $\delta_{\text{ET}}$  and  $\delta_{\text{storage}}$  either seasonally or diurnally. We excluded  $\delta_{\text{ET}}$  data associated with periods of poor fetch, rain events and measurement instability. After filtering  $\delta_{\text{ET}}$ , we were able to account for 37% of the growing season hourly periods, an impressive total that would not be feasible without the TDL technology. Data recovery percentages for each month were 30% of June, 45% of July, 42% of August, and 32% of September.

We made daily  $\delta^{18}\text{O}$  measurements of leaf water (at midday), with the centre vein removed, collecting ~4 large leaves from the upper and ~9 smaller leaves from the lower layers of the canopy separately from at least four different plants. We also made weekly measurements of xylem water, sampled at the base of the plant, and 10 cm soil water, event-based precipitation, and periodic measurements of groundwater. Plant and soil samples were sealed in glass vials and frozen until cryogenic water extraction using a vacuum line at Yale University. Extracted and bulk waters were analysed for  $\delta^{18}\text{O}$  composition (precision = 0.2‰) using the  $\text{CO}_2$  equilibration method on a GasBenchII autosampler attached to a Delta Plus XL isotope ratio mass spectrometer (Thermo Finnigan, Bremen, Germany) at Yale University.

### Micrometeorological and eddy covariance measurements

Humidity was calculated in this experiment from the measured water mixing ratio from the TDL system above the canopy and was referenced to the saturation humidity at the desired temperature (e.g. air, leaf or soil). Canopy temperature was calculated from the measured outgoing long wave radiation, assuming an emissivity of 1.0. This produced values that agreed well with canopy temperature measurements from an Apogee IRTS-P sensor (Campbell Scientific, Inc.) before it failed early in the season, and was the canopy temperature used for all model calculations presented in this paper. Additional instrumentation at the site measured latent and sensible heat fluxes, net ecosystem exchange  $\text{CO}_2$  flux, air and soil temperatures, wind speed, wind direction, and soil moisture (described in Griffis *et al.* 2004; Baker & Griffis 2005; Griffis, Baker & Zhang 2005a). The ET used for flux-weighting the  $\delta_{\text{ET}}$  signal was calculated from the latent heat flux and gap filled using correlation with net radiation for the nearest 48 h.

### Intensive measurement period

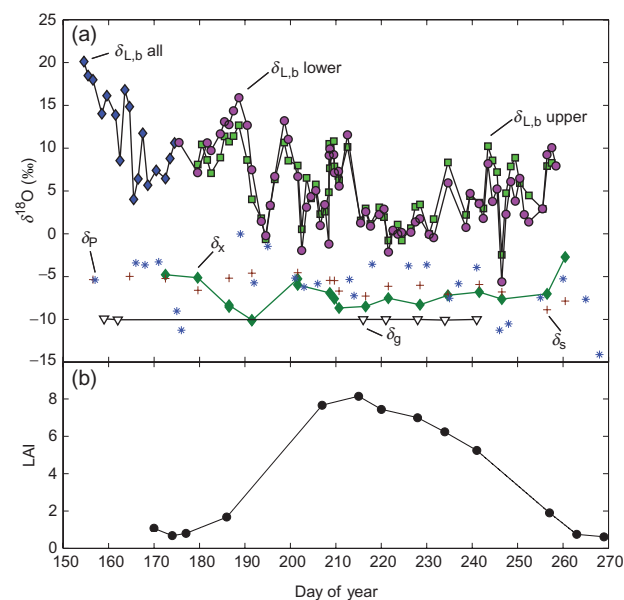
For a period of 72 h from 27 to 30 July (referred to as the intensive period), we collected leaf samples every 3 h, stem and soil (0, 5 and 10 cm depth) samples every 6 h, and 20 cm soil once per day. Shortly before sunrise, clean paper towels were used to wipe dew from the surface of leaves and were squeezed into a sample vial. Every 3 h, we measured leaf water content and leaf-level gas exchange properties using a LI-6400 (Li-Cor, Lincoln, NE, USA), including photosynthesis, transpiration, stomatal conductance and individual leaf temperature. Fetch was excellent during the entire intensive period. Leaf-level measurements were within the flux footprint of the TDL measurements.

## RESULTS

### Liquid water isotopic composition

( $\delta_{\text{L,b}}$ ,  $\delta_x$ ,  $\delta_s$ ,  $\delta_g$ ,  $\delta_p$ )

To provide context for interpreting the nearly continuous measurements of  $\delta_v$  and  $\delta_{\text{ET}}$ , we first present the measurements of the liquid water pools (Fig. 1a). Precipitation and soil waters showed moderate variability, and xylem water showed little variability over the season. Weekly measured  $\delta_x$  usually fell between values of 10 cm  $\delta_s$  and groundwater ( $\delta_g$ ), the latter of which showed very little deviation from the mean value of  $-10.00 \pm 0.05$ ‰. The most negative  $\delta_x$  was measured 15 d after a large rain event that had a very negative  $\delta_p$ .



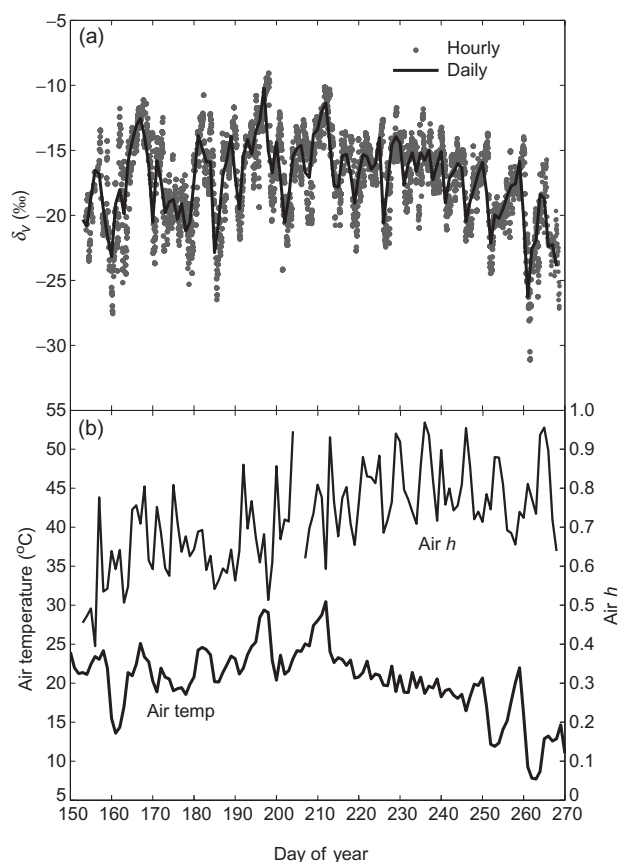
**Figure 1.** (a) Time series of ecosystem water pools. Groundwater ( $\delta_g$ , upside-down open triangles), xylem ( $\delta_x$ , green diamonds), 10 cm soil ( $\delta_s$ , brown crosses), precipitation ( $\delta_p$ , blue stars), bulk leaf water ( $\delta_{\text{L,b}}$ ) sampled at midday from throughout the entire canopy (blue diamonds), upper canopy only (green squares) and lower canopy only (magenta circles). (b) Leaf area index (LAI) measured several times throughout the season (black circles) and linearly interpolated.

The largest variability, greater than 20‰, was observed in  $\delta_{L,b}$ . Early in the season, before day of year (DOY) 175, leaves were sampled from all heights in the developing canopy. As the canopy matured, upper and lower sections were sampled separately, with the centre veins removed. The most extreme  $\delta_{L,b}$  enrichments of approximately 20‰ above  $\delta_x$  were observed during the early part of the growing season. Variability in  $\delta_{L,b}$  was strongly correlated with  $h$  (referenced to canopy temperature). A linear least squares fit equation including the upper and lower leaves over the growing season was  $\delta_{L,b} = -26.5 \times h + 18.8$ , with a coefficient of determination  $R^2 = 0.75$  and number of observations  $n = 136$ .

Prior to DOY 200, but most pronounced between DOY 179 and DOY 192, the lower leaves showed greater midday  $\delta_{L,b}$  values than the upper leaves; the pattern reversed after DOY 200. This surprising result leads us to hypothesize that canopy closure between planting rows around DOY 200 changed light, temperature and humidity characteristics of the lower canopy, but we do not have vertically resolved environmental measurements throughout the canopy to test this theory. By DOY 207, LAI was 7.7, close to the seasonal maximum of 8.2 (Fig. 1b). Transpired water enriching  $\delta_v$  in the lower canopy cannot explain the depletion of the lower leaves relative to the upper leaves after DOY 200. The pattern was not symmetric at the end of the growing season, but neither is the canopy structure the same as the early season.

### Seasonal and diurnal variability of $\delta_v$

The  $\delta^{18}\text{O}$  of water vapour ( $\delta_v$ ) contains insight into the seasonal, diurnal and synoptic variability in the hydrological cycle (Fig. 2). The daily mean  $\delta_v$  during the growing season varied by nearly 15‰, with 5–10‰ changes over just a few days. The variability in daily mean  $\delta_v$  was linearly correlated with daily mean air temperature ( $R^2 = 0.51$ ,  $n = 116$ ) and the natural log of the daily mean water vapour concentration ( $v$ ,  $R^2 = 0.66$ ,  $n = 116$ ). This log-linear relation between  $\delta_v$  and  $v$  from June to September was weaker at the hourly timescale ( $\delta_v = 8.14 \ln(v) - 39.87$ ,  $R^2 = 0.55$ ,  $n = 5256$ ), but improved for daytime only conditions ( $\delta_v = 8.99 \ln(v) - 42.9$ ,  $R^2 = 0.71$ ,  $n = 1541$ ). The largest deviations from the hourly best-fit function occurred during calm night-time conditions, when local ET enriched  $\delta_v$ .



**Figure 2.** (a) Hourly mean  $\delta_v$  (grey dots) and daily mean  $\delta_v$  (black line) from the upper intake. (b) Daily mean air temperature measured at 3 m and relative humidity referenced to air temperature.

Monthly mean values of  $\delta_v$  are listed in Table 2, along with the precipitation amount-weighted mean of  $\delta_p$ . The correlation on an event basis between  $\delta_p$  and liquid in equilibrium with  $\delta_v$  ( $\delta_{v,e}$ ) during rain events larger than 10 mm was excellent ( $\delta_p = 1.07 \delta_{v,e} + 1.23$ ,  $R^2 = 0.98$ ,  $n = 12$ ), giving us confidence in the accuracy of our  $\delta_v$  measurements. Outside of rain events, monthly mean  $\delta_v$  was not necessarily in equilibrium with precipitation, local soil water or stem water. In June and July, monthly mean  $\delta_v$  was several ‰ more negative than the expected equilibrium with  $\delta_p$ , but in

Month	$\delta_v$ (‰)	$\delta_p^a$ (‰)	$\delta_{v,e}^b$ (‰)	$\delta_x$ (‰)	$\delta_s$ (‰)	$\delta_{ET}^c$ (‰)
May		-10.6				
June	-18.0	-6.4	-8.2	-5.0	-5.1	-3.5
July	-15.7	-5.2	-6.3	-7.6	-5.6	-5.3
August	-16.3	-6.5	-6.6	-7.6	-6.5	-4.3
September	-19.5	-9.3	-9.2	-5.8	-7.8	-6.4
June–September	-17.4	-6.8	-7.6	-6.5	-6.3	-4.8

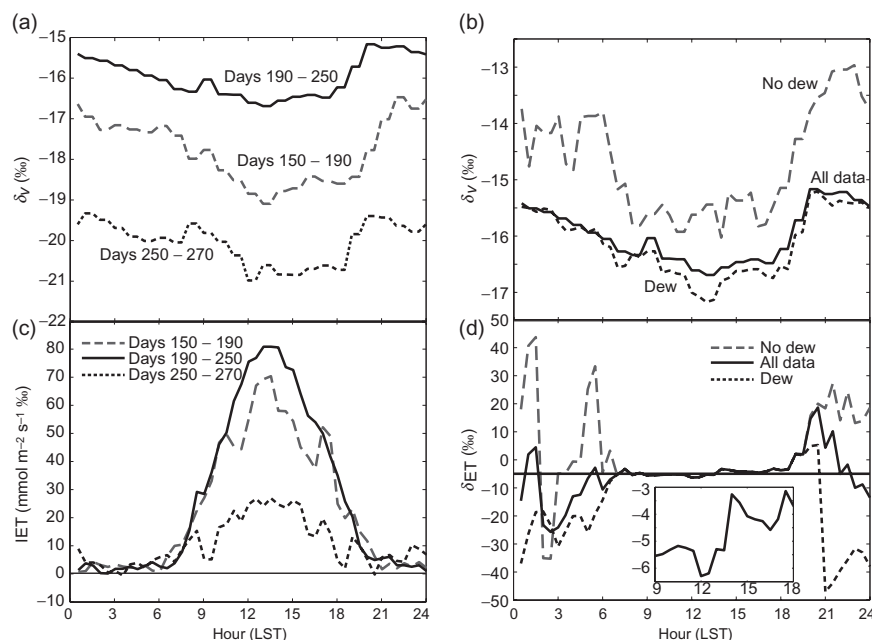
<sup>a</sup>Weighted by amount of precipitation.

<sup>b</sup>Calculated from  $\delta_v$  and monthly mean temperature from Table 1.

<sup>c</sup>Weighted by ET flux.

v, vapour; P, precipitation; v,e, liquid in equilibrium with vapour; x, xylem water; s, soil water at 10 cm; ET, evapotranspiration.

**Table 2.** Monthly mean  $\delta^{18}\text{O}$  of water pools



**Figure 3.** Ensemble mean diurnal cycles of  $\delta_v$ , filtered by (a) the time of the season; (b) DOY 190–250 was further filtered by the presence of dew on 51 nights and no dew on 10 nights. Similarly, (c) the isoforcing by evapotranspiration (ET) ( $I_{ET}$ ) divided by season; and (d)  $\delta_{ET}$  for the entire season filtered for periods of dew. Seasonal periods are divided into early (DOY 150–190), mid- (DOY 190–250) and late season (DOY 250–270) based on the crossover of leaf area index (LAI) = 3.

August and September, equilibrium conditions appeared to be satisfied (Table 2).

There was a persistent diurnal pattern to  $\delta_v$ , with minimum values during the daytime and maximum values at night (1900–0200 h). Figure 3a shows daily ensemble means for three time periods during the season, early (DOY 150–190, LAI < 3), mid- (DOY 190–250, LAI = 3–8) and late season (DOY 250–270, LAI < 3). The shape of the diurnal cycle in  $\delta_v$  for all three intervals was nearly identical with three main features: (1) a gradual decrease from 2100 to 1300 h; (2) a slight increase from 1300 to 1800 h; and (3) a larger, more rapid increase in the evening between 1800 and 2100 h. The early summer period showed the largest mean diurnal amplitude, 2.5‰ compared with 1.5‰ during the mid- and late growing season, and an extended evening increase in  $\delta_v$  from 1800 to 2200 h. We hypothesized that local surface processes such as dew formation and canopy ET may contribute to the diurnal cycle in  $\delta_v$ . The diurnal ensemble mean for the mid-season period was further divided into nights with and without dew formation (Fig. 3b). At this humid site, night-time dew formation was more common than no dew (Table 1). On nights lacking dew formation,  $\delta_v$  appeared to remain fairly enriched until approximately 0600, and then decreased more rapidly than mornings following night-time dew. The potential influence of canopy ET is discussed in the next section.

### Seasonal and diurnal variability of $\delta_{ET}$

The ET flux-weighted monthly mean  $\delta_{ET}$  was in the range of  $-3.5$  to  $-6.4$ ‰ (Table 2). Fig. 3d shows the ensemble mean diurnal cycle of  $\delta_{ET}$ . For the daytime means, all data points were used. The night-time data were further screened by hourly observations with and without dew formation. At this humid site, night-time dew formation

was more common than no dew (Table 1). During the daytime,  $\delta_{ET}$  increased from 1000 to 1600 h by about 3‰ on average. Evening changes in  $\delta_{ET}$  were over an order of magnitude larger than the daytime variation, as  $\delta_{ET}$  approached infinity (positive or negative) at very low ET fluxes. In the case of no dew,  $\delta_{ET}$  increased rapidly from 1600 to 2200 h and was quite variable, but mostly positive, after 2200 h. In the case of dew formation, however, the late afternoon/early evening values started to increase as in the case of no dew. Near 2100 h,  $\delta_{ET}$  suddenly switched to very negative values, which was followed by a slow increase into early morning. The sudden switch to negative values occurred at the exact onset of dew formation on each night as the ET flux passed through zero. Examples of this switching can be seen during the intensive period (Fig. 4).

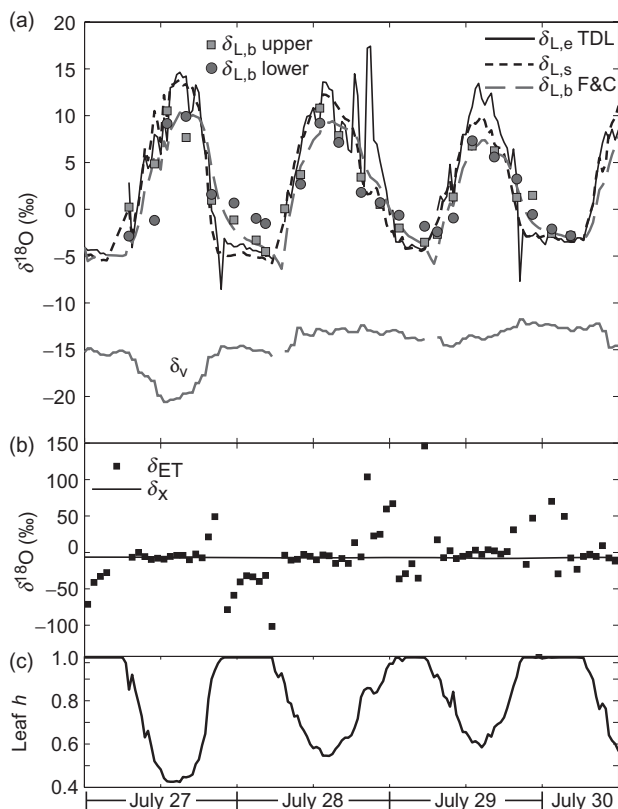
To quantify the effect of  $\delta_{ET}$  on atmospheric  $\delta_v$ , we calculated the ET isoforcing ( $I_{ET}$ ) as

$$I_{ET} = ET(\delta_{ET} - \delta_v). \quad (8)$$

The diurnal ensemble mean  $I_{ET}$  was always positive, indicating that ET acted to enrich the atmosphere in  $\text{H}_2^{18}\text{O}$  over the season and diurnally (Fig. 3c).

### Non-steady-state nature of leaf $\delta^{18}\text{O}$

The slope of the regression of  $\delta_{L,s}$  on  $\delta_{L,e}$  was  $0.97 \pm 0.06$  for the three-day intensive period and  $0.90 \pm 0.03$  for days 190–250 (midday data). Close correlation between  $\delta_{L,s}$  and  $\delta_{L,e}$  results from the strong influence of  $h$  on both quantities, and a slope that is <1 demonstrates that  $\delta_{L,s}$  is consistently less than  $\delta_{L,e}$ . We plotted the ensemble mean diurnal cycle for the dry canopy periods with high LAI, mid-July through August (Fig. 5) and also saw that the steady-state prediction



**Figure 4.** Observations during the intensive period. (a) Calculated  $\delta_{L,e}$  using tunable diode laser (TDL)  $\delta_{ET}$  measurements (solid black line) compared against the C&G steady-state calculations,  $\delta_{L,s}$  (black dotted line) and  $\delta_{L,b}$  F&C predictions (dashed grey line). Also shown are bulk leaf water from the upper canopy (light grey squares) and lower canopy (dark grey circles), and  $\delta_v$  sampled at the upper intake (solid grey line). (b) Hourly  $\delta_{ET}$  measurements and the mean of all  $\delta_x$  samples collected during the intensive. (c) Relative humidity referenced to canopy temperature. C&G, Craig & Gordon; F&C, Farquhar & Cernusak.

consistently underestimated  $\delta_{L,e}$ . In calculating  $\delta_{L,e}$  and  $\delta_{L,s}$ , we used the TDL measurement at the lower intake to determine  $\delta_v$  and  $h$ .

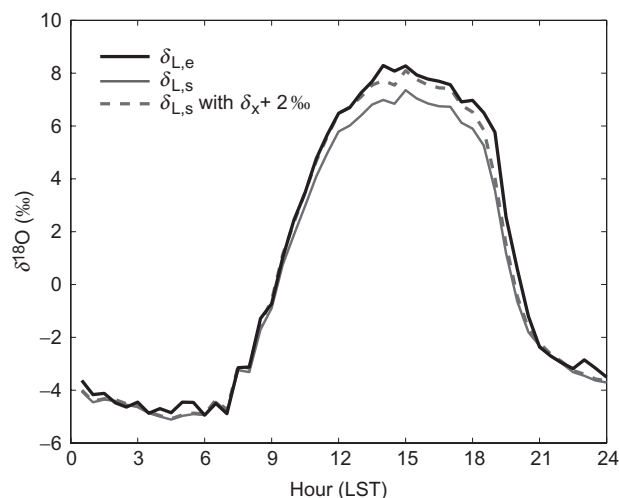
The difference between  $\delta_{L,e}$  and  $\delta_{L,s}$  can also be examined on individual days during the intensive period (Fig. 4a). During saturated night-time conditions, the steady-state and non-steady-state values were identical as predicted by Eqn 4. The agreement between  $\delta_{L,e}$  and  $\delta_{L,s}$  was relatively good on 27 and 28 July, except for a few spikes in  $\delta_{L,e}$  caused by outliers in the  $\delta_{ET}$  measurement, but the two differed considerably on 29 July (Fig. 4a). This was surprising because the higher  $h$  on the third day should have reduced the offset between  $\delta_{L,e}$  and  $\delta_{L,s}$  according to Eqn 4. We believe that the larger departure on the third day may have been the result of water stress. There was no rain during the week proceeding the intensive period, and soil moisture decreased from 0.24 ( $\text{m}^3 \text{H}_2\text{O m}^{-3}$  soil) on 19 July, the day of the last rain event, to 0.20 on 29 July. Midday air temperatures ranged from 30–33 °C and incoming solar radiation

was similar with clear sky conditions on all three days (Fig. 6a,b). Water stress was suggested by a morning stomatal conductance of  $0.11 \text{ mol H}_2\text{O m}^{-2} \text{s}^{-1}$  on 29 July, which was less than half of what it had been on 27 and 28 July (Fig. 6e). Similarly, the midday canopy-scale net  $\text{CO}_2$  uptake measured by eddy covariance was also less on 29 July ( $17 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) than on 27 July ( $31 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) and 28 July ( $21 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) (Fig. 6c). Elevated  $\delta_{L,e}$  compared with  $\delta_{L,s}$  and low daytime  $\text{CO}_2$  uptake continued after 29 July until the next rain event, on 2 August, brought the midday net  $\text{CO}_2$  uptake back to previous stress-free levels ( $30 \mu\text{mol m}^{-2} \text{s}^{-1}$ ). During another period of good fetch, from 6 to 9 July, when 20 days of no appreciable rain reduced soil moisture to nearly the lowest value of the entire season, (0.18),  $\delta_{L,e}$  was also greater than  $\delta_{L,s}$ .

We also compared our estimate of  $\delta_{L,e}$  from the TDL measurements with that calculated according to the system of equations in F&C (Fig. 7). The daytime agreement was good on the first two days of the intensive, but poor on the third day. At night, the F&C non-steady-state model prediction of  $\delta_{L,e}$ , using  $L_{\text{eff}} = 20 \text{ mm}$  (black dotted line, F&C eqn 22), agreed better with that calculated from the leaf water  $^{18}\text{O}$  mass balance (circles, F&C eqn 16) than our  $\delta_{L,e}$  TDL measurements. However, in contrast to  $\delta_{L,e}$  (TDL) and  $\delta_{L,s}$  (C&G), neither of the F&C values returned to equilibrium with  $\delta_v$  at times when air was saturated.

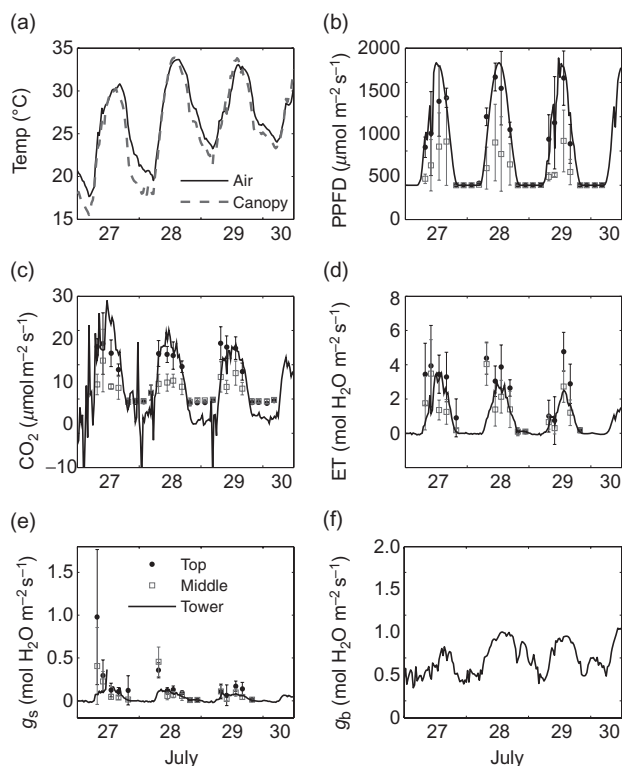
### Péclet effect

As seen in Fig. 4a, midday  $\delta_{L,b}$  was several per mil lower than  $\delta_{L,e}$  during midday. This discrepancy has been attributed to the Péclet effect (see Theory section). To test this effect at the canopy scale, we sorted the data into five groups and estimated the leaf-level  $E_T$  by dividing canopy-scale ET by half of LAI (Fig. 8). This has the effect of



**Figure 5.** Diurnal ensemble means from mid-July through August of  $\delta_{L,e}$  (solid black line),  $\delta_{L,s}$  (solid grey line) and  $\delta_{L,s}$  recalculated after adding 2‰ to  $\delta_x$  (dashed grey line).  $\delta_{L,e}$  was filtered for spikes by screening out  $\delta_{L,e} - \delta_{L,s} > 5\text{‰}$  or  $< -5\text{‰}$ .





**Figure 6.** Tower and leaf-chamber measurements during the intensive period. (a) Air and canopy temperatures from the tower; (b) photosynthetic photon flux density (PPFD) above the canopy (solid line), at the leaf level, top of the canopy (black circles) and the middle of the canopy (grey squares); (c) canopy-scale net ecosystem production measured by eddy covariance (line) and leaf-level photosynthesis at the top and middle of canopy; (d) canopy-scale evapotranspiration (ET) divided by half leaf area index (LAI) (line) and leaf-level transpiration flux at the top and middle of canopy; (e) stomatal conductance ( $g_s$ ) calculated in this study and leaf-level  $g_s$  from the chamber measurements; (f) estimated leaf-level boundary layer conductance ( $g_b$ ). Error bars represent the standard deviation of replicate measurements on different plants.

weighting the canopy by sunlight penetration depth. The daytime fractional enrichment,  $f$ , fluctuated around 0.5, which is only halfway towards the theoretical limit of 0 (i.e.  $1-f=1$ ), and showed virtually no dependence at high  $E_T$ . Negative  $f$  values were observed at night during dew events, when  $\delta_{L,b}$  was greater than  $\delta_{L,e}$ . The value of  $L_{\text{eff}}$  implied by this data set is approximately 50 mm (Fig. 8b). We also show this analysis using  $\delta_{L,s}$  instead of  $\delta_{L,e}$  and arrive at the same  $L_{\text{eff}} = 50$  mm. However, when we tuned the F&C-predicted  $\delta_{L,b}$  against the observed leaf-level  $\delta_{L,b}$  (Fig. 4), we arrived at a  $L_{\text{eff}}$  value of 20 mm instead.

### Periods of dew formation

The dew water isotopic composition ( $\delta_d$ ) during the intensive campaign was close to the value of liquid water in equilibrium with  $\delta_v$  ( $\delta_{v,e}$ ). The difference between  $\delta_d$  and both  $\delta_{v,e}$  and the  $\delta_{L,b}$  of upper leaves, measured between

0400 and 0500 h, was less than 1‰ on the first night and less than 0.4‰ on the second and third nights; thus, both dew water and the bulk leaf water in the upper canopy were in approximate equilibrium with water vapour, especially on the second and third nights (Table 3). Unlike the upper leaves that were covered by dew, many of the lower leaves remained dry because of higher temperature in the lower canopy, and the observed  $\delta_{L,b}$  in the lower canopy was more positive relative to the upper canopy.

## DISCUSSION

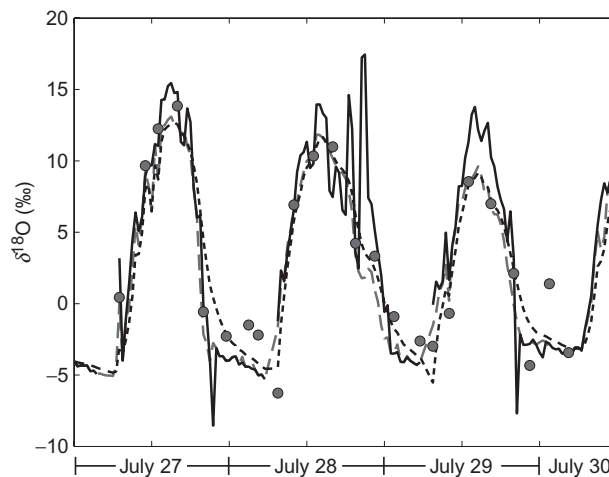
### Temporal dynamics of $\delta$

#### Seasonal and synoptic

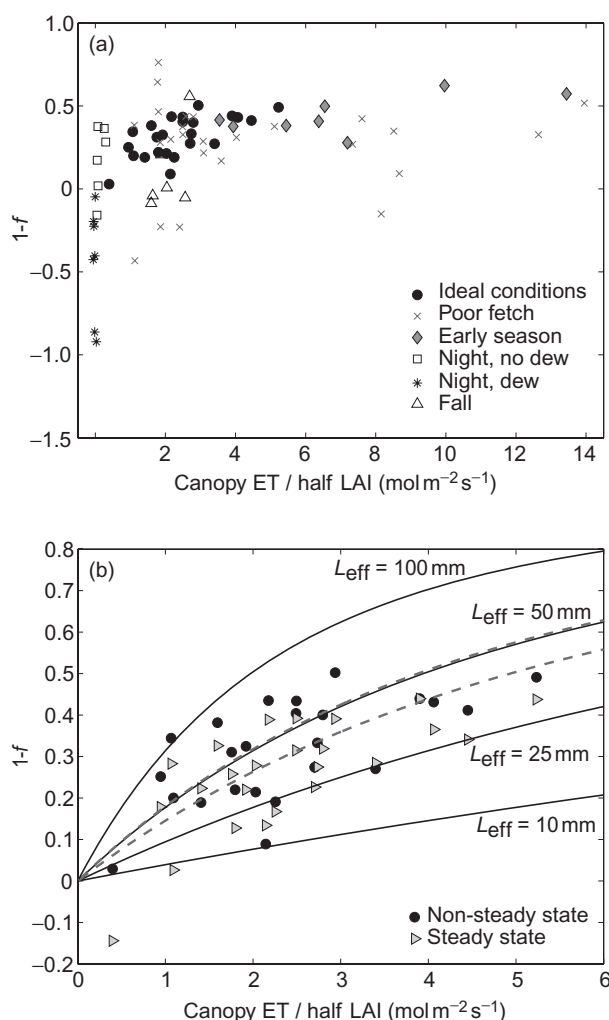
Most of the seasonal variability in daytime  $\delta_v$  could be attributed to Rayleigh distillation mechanisms (Gat 1996). The temperature and, more importantly, the water content of an air mass are indicators of its rainout history. At low temperatures, a large fraction of the original water vapour held by an air mass is condensed out, leaving relatively negative  $\delta_v$  values. Previous studies based on TDL measurements have shown a similar log-linear relationship between atmospheric water mixing ratio and  $\delta_v$  on an hourly timescale (Lee *et al.* 2007).

#### Diurnal

One might assume that the most obvious local influence on  $\delta$  should be the ET isoforcing, which is strongly positive during the daytime and should increase  $\delta_v$  (Fig. 3c). However, the  $\delta$  daily minimum occurred during midday on average (Fig. 3a). A similar diurnal pattern was observed in



**Figure 7.** Comparison of  $\delta_{L,s}$  (dashed grey line) and  $\delta_{L,e}$  estimated in three different ways: from tunable diode laser (TDL) measurements (solid black line), the F&C back-calculation (grey circles) and the F&C forward prediction (dotted black line). Variable leaf water content was included in the model.  $L_{\text{eff}} = 20$  mm and  $D$  was a function of temperature in the F&C forward prediction. Minimum  $g_s = 0.04 \text{ mol m}^{-2} \text{s}^{-1}$ . F&C, Farquhar & Cernusak.



**Figure 8.** Fractional leaf water enrichment, or rather  $1-f$ , versus leaf-level  $E_T$  [approximated as canopy evapotranspiration (ET) divided by half leaf area index (LAI)] of the upper leaves with centre veins removed. (a) The data are separated into six groups: ideal conditions – good fetch including daytime observations during the intensive and midday observations during the mid-growing season (DOY 191–242); poor fetch – midday observations with poor fetch; early season – midday data in the early season (DOY 181–188); night, no dew – night-time observations (1930–0730 h) during the intensive without dew; night, dew – night-time observations with dew during the intensive; and fall – midday data in the leaf senescence transition (DOY 244–258). (b) Ideal conditions only are compared with the Péclet model predictions using  $L_{\text{eff}}$  values of 10, 25, 50 and 100 mm with a mean  $D$  value from all the leaf collection times (solid black lines), as well as the maximum and minimum temperature range of  $D$  with  $L_{\text{eff}} = 50$  mm (dashed grey lines), to show the range in the Péclet model calculations. Closed circles are for  $f$  calculated using  $\delta_{L,e}$  (non-steady state), and open triangles are for  $f$  calculated using  $\delta_{L,s}$  (steady state).

a high-frequency time series in New Haven, CT (Lee *et al.* 2006) and over two days of measurements in the Pacific Northwest (Lai *et al.* 2006). In the first case, the diurnal cycle was attributed to the diurnally variable sea breeze. In

the second case, the morning decrease in  $\delta_v$  was explained by entrainment of isotopically light water vapour from the free atmosphere with increased vertical mixing. An increasing contribution of enriched water transpired through the afternoon and evening returned  $\delta_v$  to early morning values by 1900–2100 h. Another time series of  $\delta_v$  from a temperate forest in New England showed no diurnal pattern (Lee *et al.* 2007). These previous studies suggest that the factors that control the diurnal cycle of  $\delta_v$  may vary geographically.

At this site, it may be a combination of processes, such as dew, diurnally variable mixing in the boundary layer, and local ET, that drive the diurnal cycle of  $\delta_v$ . There was evidence of local ET influence on  $\delta_v$  in the evenings: the increase in  $\delta_v$  from 1800 to 2100 h was timed exactly with an increase in  $\delta_{ET}$  (Fig. 3a,b). The opposing effects of atmospheric mixing and the strength of ET isoforcing control the time change in  $\delta_v$ . In midday periods, the mixed layer, typically 1 km deep in the Upper Midwest USA, mixes in more negative  $\delta_v$  from the free troposphere, diluting the large surface ET isoforcing. During the sunset transition, the mixed layer usually collapses, and the rapidly increasing  $\delta_{ET}$  signal was strong enough to influence the  $\text{H}_2^{18}\text{O}$  budget of the surface layer air. Assuming a depth of 100 m for the mixed layer height during evening transitions, and typical ET,  $\delta_{ET}$ ,  $\delta_v$  and  $v$  values, we estimated that the ET isoforcing can easily increase  $\delta_v$  by 2‰ over 4 h. An increase of this magnitude, which is larger than the seasonal mean amplitude of 1‰, was indeed observed on nights with calm wind conditions.

Dew-free nights showed little change in  $\delta_v$  from late evening until atmospheric turbulence increased in the morning. Assuming that the surface air mass is isolated from the upper free troposphere at night, condensation of  $^{18}\text{O}$ -enriched water from the air could deplete  $\delta_v$  as dew formed on the canopy surfaces. The evaporation of dew in the morning should enrich the surface air in  $\text{H}_2^{18}\text{O}$ , but the signal is presumably overwhelmed by the entrainment of more negative vapour by vertical mixing occurring at the same time. On any given day, however, synoptic variability in air mass trajectories may disguise these local effects. For example, the first day of the intensive period exhibited a midday minimum, but over the last two days,  $\delta_v$  remained nearly constant.

### Techniques for estimating $\delta_v$

While accurate  $\delta_v$  measurements are crucial to these types of water isotope studies, high-resolution measurements of  $\delta_v$  are often impossible or impractical to make during field campaigns. White, Lawrence & Broecker (1994) recognized that studies ignoring  $\delta_v$  are potentially flawed. A common assumption is that  $\delta_v$  is in equilibrium with  $\delta_x$ . However, we found that rarely was  $\delta_v$  in equilibrium with  $\delta_x$  at this site, and during midday  $\delta_v$  was almost entirely decoupled from the surface  $\delta_r$  (or  $\delta_x$ ). The equilibrium assumption resulted in errors of assimilation-weighted  $\delta_{L,s}$  of 1.5–2.5‰ during our intensive measurement campaign. Using the noon value of  $\delta_v$  on each day, and assuming it was constant over the

**Table 3.** Night-time microclimatic conditions and isotopic compositions of water pools during the intensive period

Day	$\delta_v$ (‰)	$T_{\text{surf}}^a$ (°C)	$T_{\text{air}}^a$ (°C)	$\delta_d$ (‰)	$\delta_{v,e}^{a,b}$ (‰)	$\delta_x$ (‰)	$\delta_{L,b}$ (upper) <sup>c</sup> (‰)	$\delta_{L,b}$ (lower) <sup>c</sup> (‰)
28 July	-14.9	18.4	20.8	-4.1	-5.0	-6.9	-4.5	-1.5
29 July	-13.6	22.6	24.0	-3.8	-4.0	-6.8	-3.5	-1.8
30 July	-12.5	24.4	25.4	-3.0	-3.1	-7.0	-2.8	-2.8

<sup>a</sup>Mean values of the last 5 h prior to  $\delta_d$  and  $\delta_{L,b}$  measurements.

<sup>b</sup>Calculated with respect to surface temperature.

<sup>c</sup>Measured between 0400 and 0500 h.

entire 24 h, reduces the error in assimilation-weighted  $\delta_{L,s}$  to 0–1.5‰. Once-daily  $\delta_v$  measurements, at least, are encouraged when  $\delta_{L,s}$  is of interest.

If seasonally integrated signals are of interest, for example, in tree ring isotope studies, the Rayleigh distillation equation along with  $\delta_p$  versus air temperature correlations and relative humidity time series can be used to estimate  $\delta_v$  (Gat 1996). It is important to remember that  $\delta_v$  predicted from  $\delta_p$  versus air temperature regressions are for saturated vapour conditions (i.e. rain events) and are therefore positively biased during non-saturated conditions. Rayleigh distillation predicts that  $\delta_v$  will be more negative during unsaturated conditions, with the difference depending on how dry the air mass is. Monthly mean  $\delta_v$  during the low-humidity months of June and July was 1–2‰ more negative than equilibrium with  $\delta_p$  would predict, whereas the more humid months of August and September were approximately in equilibrium with rain (Tables 1 & 2). These monthly mean  $\delta_v$  results illustrate the importance of considering relative humidity when estimating  $\delta_v$  from  $\delta_p$  and air temperature.

## The temporal dynamics of $\delta_{ET}$

### Monthly mean values

Assuming  $E_T$  is the dominant pathway of ET, we expected monthly mean  $\delta_{ET}$  to closely match  $\delta_x$ . The ET flux weighted monthly mean  $\delta_{ET}$  was 0.8–3.3‰ higher than  $\delta_x$  and was 0.3–2.2‰ higher than  $\delta_s$  (Table 2). Generally speaking, a significant contribution from  $E_s$  should drive  $\delta_{ET}$  more negative than  $\delta_x$ , the opposite direction of our observations. Riley *et al.* (2003) showed that the isotopic forcing of soil evaporation may enrich  $\delta_v$  in cases of strong evaporative enrichment of water in the surface soil layers. At this study site, we observed midday  $\delta_s$  in the top 0–2.5 cm of the soil was enriched approximately 4‰ over the measurements at the 10 cm depth during the intensive campaign and then was nearly reset to deeper  $\delta_s$  values at night. Enrichment in surface  $\delta_s$  of this magnitude was not sufficient to result in a positive isoforcing by  $E_s$ . We suggest that the offset could be a result of mismatched footprints between our TDL measurement of  $\delta_{ET}$  and the small plot area where we collected stem and soil samples for  $\delta_x$  and  $\delta_s$  analysis. The weekly  $\delta_x$  and  $\delta_s$  samples from one location may not capture the mean values for the entire TDL footprint. For example, a comparison with soil samples collected from another location in the

same field showed that our routine measurements were on average 1.8‰ more negative. Our routine measurements were made in a portion of the field that was slightly depressed compared with the surrounding area. If the auxiliary site  $\delta_x$  measurements were a more accurate representation of the entire tower flux footprint, the offset between  $\delta_{ET}$  and  $\delta_s$  and  $\delta_x$  would almost entirely disappear. We show this by adding 2‰ to the C&G steady-state prediction in Fig. 5. This issue demonstrates the challenges of comparing measurements of varying footprints such as eddy covariance flux, TDL flux ratios, Keeling plots and plant-level measurements (e.g. Griffis *et al.* 2007). It also presents the challenge of estimating mean canopy-scale plant source water.

Additionally, there may be hidden sources of error in these new  $\delta_{ET}$  gradient calculations. For example, this approach assumes that the eddy diffusivities of  $\text{H}_2^{16}\text{O}$  and  $\text{H}_2^{18}\text{O}$  are the same in air, but there have been no direct measurements supporting this assumption. Also, canopy storage effects may be accounted for in the future by measuring  $\delta_x$  inside the canopy in addition to the gradient above the canopy.

### Periods of dew formation

The sudden switching of  $\delta_{ET}$  from strongly positive to strongly negative at the onset of dew formation occurred at the time when the water vapour flux passed from positive to negative (Figs 3d & 4b). During the intensive measurement, we observed that dew formation was confined to the upper canopy and that the lower canopy was warmed to a temperature above the dew point by heat released from the soil. Several recent papers have documented evidence of night-time transpiration (e.g. Barbour & Buckley 2007; Dawson *et al.* 2007; Fisher *et al.* 2007). Mass balance considerations show that when the component fluxes (downward dew flux versus upward transpiration flux from the lower canopy) occur in opposite directions, it is possible that the isotopic signal of the net flux is much larger in magnitude than those of the component fluxes. The highly variable  $\delta_{ET}$  at night presents another challenge for the investigation of isotopic exchange in realistic field conditions.

### The role of humidity in controlling leaf $\delta^{18}\text{O}$

Ambient  $\delta_v$  exerts powerful influence on leaf water  $\delta^{18}\text{O}$  (Roden & Ehleringer 1999). In high-humidity environments,  $\delta_{L,e}$  approaches the liquid–vapour equilibrium with  $\delta_v$ , as  $h$

approaches unity (Eqn 1), and if saturation persists for long enough, the effect of back-diffusion will bring  $\delta_{L,b}$  into an equilibrium state with  $\delta_a$  as well (Table 3). Given that the majority of the nights at this study site experienced saturated conditions, it is critical to accurately measure  $\delta_a$  when predicting  $\delta_{L,e}$  (Table 1). Conversely,  $\delta_{L,e}$  can be used as a record of  $\delta_a$  in humid regions. This novel approach was recently described by Helliker & Griffiths (2007), who used an approximation of the  $\delta_{L,e}$  signal preserved as cellulose  $\delta^{18}\text{O}$  to reconstruct the  $\delta_a$  of the atmosphere. In sub-saturation conditions, Barbour *et al.* (2004) showed that the sensitivity of  $\delta_{L,e}$  to  $h$  increased as  $\delta_a$  departs from equilibrium with  $\delta_x$ .

On humid nights, the C&G model predicts that the asymptotic limit of canopy  $\delta_{L,b}$  is  $\delta_{v,e}$ . Only when  $\delta_x$  is identical to the equilibrium value of  $\delta_a$  does  $\delta_{L,b}$  approach  $\delta_x$ . Our measurements show that  $\delta_v$  and  $\delta_x$  were not in equilibrium with each other on each of the three nights of the intensive period (Table 3) and were only in equilibrium on a few fortunate days over the entire season.

Over the growing season,  $h$  plays a dominant role in  $\delta_{L,b}$  variability as evidenced by the significant negative correlation between these two quantities. In our case, the highest  $\delta_{L,b}$  was observed at the early growth stage when  $h$  and LAI were very low (Figs 1 & 2). At this time, the majority of solar radiation was consumed as sensible heat flux resulting in large surface temperatures. Even though  $h$  relative to saturation at the air temperature was similar to later periods, when expressed relative to the surface temperature,  $h$  in the early season was very low (0.1–0.3). The strong correlation with  $h$  emphasizes the importance of accurate humidity and surface temperature measurements when modelling leaf water isotopes.

Humidity may also explain the vertical gradient in  $\delta_{L,b}$  between the upper and lower canopy and the switch in the relative difference midway through the season. Early in the season, when the plants were small, it is likely that radiative heating of the soil warmed the lower canopy and reduced  $h$  near the surface, such that  $\delta_{L,b}$  was higher in the lower canopy than in the upper canopy. When the canopy density increased and filled in the areas between planting rows to form a closed canopy,  $h$  increased in the lower canopy relative to the upper canopy. At this point, upper canopy  $\delta_{L,b}$  was greater than lower canopy  $\delta_{L,b}$ . Saurer, Siegwolf & Scheidegger (2001) reported that similar vertical patterns in  $\delta_{L,b}$  were suggested by the  $\delta^{18}\text{O}$  of cellulose. They found that the humidity increase in a closed canopy had a larger negative effect on the  $\delta^{18}\text{O}$  of cellulose, through  $\delta_{L,b}$ , than the slight enriching effects of (1) increased kinetic fractionation via decreased wind speed and (2) enriched  $\delta_a$  via increased transpiration contribution to canopy  $\delta_v$ . Later in the season, the vertical pattern in  $\delta_{L,b}$  did not reverse with the slow thinning of LAI because the overall canopy height and structure did not change drastically until senescence.

### The steady-state assumption

The steady-state assumption – that  $\delta_r$  equals  $\delta_x$  – has been commonly used in isotopic partitioning studies to separate

the influence of  $E_T$  and  $E_s$  from ET. Perhaps the biggest challenge in these studies is determining the transpiration flux-weighted  $\delta_x$  from a heterogeneous ecosystem. Even though our relatively homogenous agricultural ecosystem was ideal for measuring canopy-scale  $\delta_x$ , we were unable to show  $\delta_r = \delta_x$  when flux-weighted over the season.

Several recent publications have pointed to the critical importance of using non-steady-state leaf water models to predict the  $\delta^{18}\text{O}$  at the sites of evaporative enrichment for studies involving oxygen isotopes of  $\text{CO}_2$  and  $\text{O}_2$  (Farquhar & Cernusak 2005; Seibt *et al.* 2006; Lee *et al.* 2007). We expected that during the transitional periods of the day (sunrise and sunset), non-steady-state effects in  $\delta_{L,e}$  would be more significant than in the middle of the day. Theoretically,  $\delta_r$  should be lower than  $\delta_x$  in the mornings as evaporative demand and  $\delta_{L,b}$  increase. The opposite relationship should occur in the evening as  $\delta_{L,b}$  decreases. Following to Eqn 4,  $\delta_{L,e}$  should also be less than  $\delta_{L,s}$  in the morning transition and greater than  $\delta_{L,s}$  in the evening transition. After correcting for the possible error in canopy-scale  $\delta_x$  in Fig. 5, there was no evidence of the transitional non-steady-state effect in the morning and a very slight indication of the evening non-steady state. Errors in the kinetic fractionation factor,  $\delta_v$  and  $h$  resulting from the recycling of water in the canopy had no effect on the relative difference between  $\delta_{L,e}$  and  $\delta_{L,s}$  because the same terms appear in both Eqns 2 and 3. Within the uncertainty of the TDL measurement and footprint heterogeneity at the site,  $\delta_{L,s}$  does appear to be a close approximation of  $\delta_{L,e}$  when averaged over the season at least during the daytime. High night-time humidity at this site prevented an evaluation of the importance of non-steady state at night that has been suggested by several other studies in sub-saturation conditions (Farquhar & Cernusak 2005; Seibt *et al.* 2006).

The comparison of our TDL estimates of  $\delta_{L,e}$  with the F&C model predictions was particularly interesting during the night (Fig. 7). F&C predictions were more positive than  $\delta_{L,e}$  or  $\delta_{L,s}$ . The F&C back-calculation of  $\delta_{L,e}$  from  $\delta_{L,b}$  measurements included implicitly the diffusion between the sites of enrichment and the larger volume of mesophyll water with a slow isotopic turnover time. The F&C forward prediction of  $\delta_{L,e}$  also incorporates this slow isotopic turnover. Neither prediction settled to equilibrium with  $\delta_a$  at times when air was saturated as demanded by Eqn 1. More night-time measurements are needed to resolve these differences.

During the intensive period, midday  $\delta_{L,s}$  was closer to  $\delta_{L,e}$  on the first two days (27 and 28 July) than on the third day (29 July) (Fig. 4). The departure of  $\delta_{L,e}$  (and  $\delta_r$ ) from  $\delta_{L,s}$  (and  $\delta_x$ ) on the third day may have been the result of water stress. The mechanistic link between the non-steady-state behaviour and drought stress is, however, not fully understood. Drought stress may result in changes of the volumetric leaf water content and a time-varying  $L_{\text{eff}}$  in the Péclet effect calculation (Cuntz *et al.* 2007). How to incorporate these factors into theoretical models to predict  $\delta_r$  is an area for future research.



## Péclet effect

Our measurements of  $\delta_{\text{L,e}}$  allowed us to test the Péclet effect during natural field conditions. The predicted curvilinear relationship with transpiration was observed, albeit with large scatter (Fig. 8a). We used this data to estimate a  $L_{\text{eff}}$  value of approximately 50 mm (Fig. 8b). Cuntz *et al.* (2007) showed that this relationship should not hold in the non-steady state; however, our midday leaf sampling strategy should tend towards steady-state conditions on each individual day. The lack of perfect agreement suggests that additional parameters may be needed to fully resolve the differences in the Péclet effect and gas exchange properties between the leaf scale and the canopy scale.

This analysis was complicated by comparing across the leaf and canopy scales. As previously noted,  $\delta_{\text{L,e}}$  was derived from  $\delta_{\text{T}}$  at the canopy level, where  $\delta_{\text{L,b}}$  was measured at the plant level. For this reason we also compared the Péclet effect using  $\delta_{\text{L,s}}$  derived from  $\delta_{\text{v}}$  measured at the plant level. There is considerable error in our estimate of leaf-level transpiration from the canopy ET eddy flux measurement that greatly affects the best-fit value of  $L_{\text{eff}}$  that we solved for in Fig. 8b. Also, uncertainty in the estimates of  $r_{\text{b}}$  can easily change  $\varepsilon_{\text{k}}$  by 0–5‰ depending on the formula used from the literature (Bonan 2002).

Using the same method for estimating leaf-level transpiration (canopy ET/half LAI) during the intensive campaign in F&C eqn 22 led to an estimate of  $L_{\text{eff}} = 20$  mm by matching  $\delta_{\text{L,b}}$  observations. This discrepancy is partly caused by the difference in  $\delta_{\text{L,e}}$  from TDL measurements (resulting in  $L_{\text{eff}} = 50$  mm) and F&C's forward prediction, which includes the Péclet effect in  $\delta_{\text{L,e}}$  (resulting in  $L_{\text{eff}} = 20$  mm). These  $L_{\text{eff}}$  values are also solved for different time periods, the three-day intensive and averaged over the entire season. Regardless,  $L_{\text{eff}}$  values of 20–50 mm are both within the range estimated for wheat by Barbour *et al.* (2004). However, they could be considerably lower if we took into account xylem and veinlet enrichment inside the leaves (Ripullone *et al.* 2008).

In the evenings when air became saturated,  $\delta_{\text{L,e}}$  quickly decreased to  $\delta_{\text{v,e}}$  according to Eqn 3. The highly enriched  $\delta_{\text{L,b}}$  decreased more slowly than  $\delta_{\text{L,e}}$  because of the slow molecular diffusion of  $\text{H}_2^{18}\text{O}$  in the bulk leaf water. In such transitional periods,  $\delta_{\text{L,e}}$  was lower than  $\delta_{\text{L,b}}$ , causing 1- $f$  to become negative. A negative 1- $f$ , or more generally, the tendency for  $\delta_{\text{L,b}}$  to reach equilibrium with the ambient vapour shows that there existed an isotopic exchange of  $\text{H}_2^{18}\text{O}$  between leaves and the air, perhaps through a partially open stomatal pathway (Barbour & Buckley 2007; Dawson *et al.* 2007) even when air was at saturation and the net water vapour flux was small. Negative night-time values of 1- $f$  were also shown by Barnard *et al.* (2007).

## CONCLUSIONS

Continuous canopy-scale isotope measurements made possible by TDL technology have the potential to improve our mechanistic understanding of atmosphere–plant gas

exchange on a timescale relevant to natural changing environmental conditions. Several unique oxygen isotope time series were presented in this paper, including long-term measurements of  $\delta_{\text{ET}}$  that showed that the influence of the ET isoflux on  $\delta_{\text{v}}$  was overwhelmed by vertical atmospheric mixing during midday, but contributed more significantly to observations in the evenings. Comparing high-frequency calculations of  $\delta_{\text{L,e}}$  with steady-state predictions showed good agreement when averaged over the season. Our measurements also identify new features that are unique to the canopy scale and have been unobservable in laboratory and leaf chamber experiments: (1) daily measurements of  $\delta_{\text{L,b}}$  pointed to vertical gradients in  $h$  that changed from increasing with height to decreasing with height midway through the growing season, a result of canopy structure effects on  $h$  (i.e. canopy closure); (2) the onset of dew formation caused abrupt switches in  $\delta_{\text{ET}}$  from large positive values to large negative values; (3) accurate  $\delta_{\text{v}}$  measurements are crucial if ( $\delta_{\text{L,b}}$  or  $\delta_{\text{L,e}}$  are parameters of interest; and (4) the above-mentioned data sets ( $\delta_{\text{L,b}}$ ,  $\delta_{\text{L,e}}$  and  $E_{\text{T}}$  rates) created an opportunity to test the Péclet effect during non-steady-state field conditions. While the theory did not exactly match observations, there was some evidence for decreasing leaf water enrichment at high  $E_{\text{T}}$  rates. These results shed insights into the factors controlling  $\delta_{\text{L,e}}$  and  $\delta_{\text{T}}$ , which are important variables used in global  $\text{H}_2\text{O}$ ,  $\text{CO}_2$  and  $\text{O}_2$  cycle research. Field observations such as these present an excellent test for canopy-scale ecosystem models that incorporate water isotope tracers.

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